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A SURVEY ON THE CLASSIFICATION PROBLEM OF FACTORS  
OF VON NEUMANN ALGEBRA.

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**A SURVEY ON THE CLASSIFICATION PROBLEM OF FACTORS  
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VON NEUMANN ALGEBRAS**

The present survey article is a thoroughly revised version of the earlier one published in NOTAS DE MATEMATICA, N<sup>o</sup> 116, 1991. Unlike the earlier version, here we give sufficient motivations of the various concepts and developments in the classification theory and devote a section to describe the matrix representation of operators, which plays a key role in the whole work. The fantastic achievements of many mathematicians in the classification theory are described here in an easily accessible form, as far as possible, to a general functional analyst.

A SURVEY ON THE CLASSIFICATION PROBLEM OF FACTORS  
OF  
VON NEUMANN ALGEBRAS  
BY  
T.V. PANCHAPAGESAN\*

In the famous work 'On Rings of Operators' [19] published by Murray and von Neumann in 1936 is given the type classification theory of factors along with a general measure theoretic construction of those of type I and II, leaving aside the problem of determining the existence of type III-factors. Later, in [25] von Neumann modified the construction given in [19] and gave the construction of type III-factors with some examples in the same. Introducing an isomorphism invariant, known as the property  $\lambda$ , Murray and von Neumann succeeded in constructing a pair of non-isomorphic type  $II_1$ -factors in [21], but couldn't obtain such results for type III-factors. Only in 1956, Pukánsky [28] could produce two non-isomorphic type III-factors, one satisfying the property (L) introduced by him and the other failing this property. Since the publication of the work of Pukánsky [28], many mathematicians got interested in the construction of new non-isomorphic type  $II_1$  or type III-factors on a separable Hilbert space  $H$ , which finally culminated in the remarkable discoveries of Powers [27] and Dusa McDuff [18], who showed respectively the existence of a continuum of non-isomorphic type III- and type  $II_1$ -factors on  $H$ . Since the family of all von Neumann algebras on  $H$  has the cardinality of continuum, their results are optimum in this direction.

The results of Powers motivated the work of Araki and Woods [2] on infinite tensor product of type I-factors, which in turn played a crucial role in motivating

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the study of Connes [6]. Making use of the Tomita-Takesaki's theory of modular Hilbert algebras and the unitary co-cycle Radon-Nikodým theorem obtained earlier in [7], Connes classified all type III-factors in terms of type  $III_\lambda$ -factors for  $\lambda \in [0,1]$  and derived the structure theorem of type  $III_\lambda$ -factors for  $\lambda \in [0,1]$  in his famous memoir [6], which fetched him the Fields medal for that decade. (In this connection, we should not fail to mention that Takesaki [36] too independently obtained the structure theorem for the more general type III von Neumann algebras in the same time, using some of the earlier results of Connes).

The aim of the present survey article is to narrate some of the most important discoveries in the classification theory since the publication of [19]. Though many of the results cited above are treated in the monographs and texts on von Neumann algebras, because of their very advanced nature they are practically inaccessible to a general functional analyst. Therefore, in the present survey we try to give a description of the fantastic achievements of these mathematicians in an easily accessible form, as far as possible, by restricting our study just to that of factors on separable Hilbert spaces only.

By a Hilbert space we mean a complex infinite dimensional one. A separable Hilbert space is thus infinite dimensional and separable. An operator on a Hilbert space  $H$  is bounded and linear. An inner product preserving linear transformation from one Hilbert space onto another is called an isomorphism of these Hilbert spaces.

**1.-Definition of a factor.** Throughout this article  $H$  denotes a separable Hilbert space, unless otherwise mentioned.  $L(H)$  denotes the Banach algebra of all operators on  $H$  with respect to the operator norm and is a  $C^*$ -algebra. The inner product of  $H$  is denoted by  $\langle \cdot, \cdot \rangle$ .

For  $T \in L(H)$  and  $x, y \in H$ , let  $p_{x,y}(T) = |\langle Tx, y \rangle|$ . Then the locally convex topology  $\tau_w$  defined by the semi-norms  $\{p_{x,y} : x, y \in H\}$  is called the *weak operator*

topology and is weaker than the norm topology of  $L(H)$ . It is well known that these two topologies coincide if and only if  $H$  is finite dimensional.

A  $\tau_w$ -closed  $*$ -subalgebra  $R$  of  $L(H)$  is called a *von Neumann algebra* if  $R$  contains the identity operator.

Historically speaking, von Neumann introduced this class of operators in [23] and called it a ring of operators. But, later it was justly suggested by Dieudonne to call these classes as von Neumann algebras (vide Introduction of [9]).

For the general theory of von Neumann algebras, the classical reference is [9]. However, an easily readable account is found in [26], which gives an introductory treatment of these algebras. The reader may also refer to Chapter VII of [22].

Given a  $*$ -subalgebra  $R$  of  $L(H)$ , the set  $\{T \in L(H) : TR=RT, R \in R\}$  is called the *commutant* of  $R$  and is denoted by  $R'$ . The commutant  $(R')'$  of  $R'$  is called the *double commutant* of  $R$  and is denoted by  $R''$ . For a  $*$ -subalgebra  $R$  of  $L(H)$ , it is easy to observe that  $R'$  is a  $\tau_w$ -closed  $*$ -subalgebra of  $L(H)$ , containing the identity operator and hence is a von Neumann algebra.

Thanks to the double commutant theorem of von Neumann [23], we can give the definition of a von Neumann algebra just algebraically, without using any topological ingredient. In fact, this is the approach adopted by Dixmier in [9]. Now, let us state the double commutant theorem.

**THEOREM 1.1.** A  $*$ -subalgebra  $R$  of  $L(H)$  is a von Neumann algebra if and only if  $R = R''$ .

Motivated by certain problems in quantum mechanics and the theory of infinite dimensional representations of groups, Murray and von Neumann made an extensive study of operator algebras in [19]. In this context, they defined the notion of a factor of a von Neumann algebra and their study led to the classification of factors as type  $I_n$ ,  $n \in \mathbb{N}$ , type I, type  $II_1$ , type II and type III. In the first

paper [19] of 1936, they could give a general method to construct type I and II-factors and thus obtained some examples of these factors. But, as they point out explicitly in [19], they were not aware of the existence of any type III-factors at that time. All these details we shall elaborate in the sequel.

To give the definition of a factor we proceed as follows. Suppose  $C$  is a non-void subset of  $L(H)$ . Let  $R(C)$  be the smallest von Neumann algebra in  $L(H)$ , which contains  $C$ . Since  $L(H)$  itself is a von Neumann algebra and the intersection of a non-void family of von Neumann algebras is a von Neumann algebra, obviously  $R(C)$  is well defined.  $R(C)$  is called the *von Neumann algebra generated by  $C$* . Let  $\Sigma$  be the class of all von Neumann algebras on  $H$ . If we partially order  $\Sigma$  by the inclusion, then  $L(H)$  and  $CI$  are respectively the greatest and the smallest elements in  $\Sigma$ , where  $I$  is the identity operator on  $H$ . Given  $R_1, R_2$  in  $\Sigma$ , the supremum  $R_1 \vee R_2$  and the infimum  $R_1 \wedge R_2$ , of  $R_1$  and  $R_2$  with respect to this partial ordering exist in  $\Sigma$  and are given by

$$R_1 \vee R_2 = R(R_1, R_2)$$

and

$$R_1 \wedge R_2 = R_1 \cap R_2.$$

Clearly, we have

$$(R_1 \vee R_2)' = R_1' \wedge R_2' \quad (1)$$

Now, by the double commutant theorem we also have

$$(R_1 \wedge R_2)' = R_1' \vee R_2' \quad (2)$$

We say that  $R_1$  and  $R_2$  form a *factorisation* if  $R_1$  and  $R_2$  commute elementwise and  $R_1 R_2 = L(H)$ . The notion of factors arises then as a particular case of factorisation and is given by the following

**DEFINITION 1.1.** For  $R \in \Sigma$ , suppose  $R \cdot R' = L(H)$  so that  $R$  and  $R'$  form a factorisation. Then  $R$  is called a *factor*.

If  $R$  is a factor, then by the double commutant theorem  $R'$  is also a factor. Besides, as  $(L(H))' = \mathbb{C}I$ , by (1) and Theorem 1.1 a von Neumann algebra  $R$  on  $H$  is a factor if and only if its centre is  $\mathbb{C}I$ .

Before ending this section, we remark that all the definitions and results given above for  $*$ -algebras of operators on  $H$  also hold when  $H$  is of arbitrary dimension.

**2.-Relative dimension function of a factor.** Given a factor  $M$  on  $H$ , we construct a relative dimension function  $D_M$  of  $M$  and use the range of  $D_M$  to classify  $M$  as of type I, II or III. We prefer to use the relative dimension functions of a factor to describe the classification in stead of the normal trace, since this approach is more direct and elementary. The definitions and results mentioned in this section are found in [19,22].

Throughout this section  $M$  denotes a factor on  $H$  and  $P(M)$  is the set of all projections belonging to  $M$ . Besides,  $H$  can be a unitary space or a separable Hilbert space.

For two projections  $E$  and  $F$  on  $H$ , it is natural to consider  $E$  to be smaller than  $F$  in size if  $\dim EH \leq \dim FH$ , where  $\dim$  denotes the dimension of the subspace. Clearly, this is equivalent to say that there exists a linear isometry  $U$  from  $EH$  onto a closed subspace of  $FH$ . On extending  $U$  linearly to the whole of  $H$  by defining  $U(H \ominus EH) = 0$ , we observe that  $\dim EH \leq \dim FH$  if and only if there exists a partial isometry  $U \in L(H)$  with its initial domain  $EH$  and final domain a closed subspace of  $FH$ . This observation motivates the following

**DEFINITION 2.1.** For  $E, F \in P(M)$ , we write  $E \preceq F$  if there exists a partial isometry  $U \in M$  with its initial domain  $EH$  and final domain  $F_1H$ , where  $F_1 \in P(M)$  and  $F_1 \leq F$ . If  $F_1 = F$ , then we write  $E \prec F$ . If  $E \preceq F$  and  $E \not\prec F$ , then we write  $E \sim F$  or simply,  $E \sim F$ .

In other words, for projections  $E$  and  $F$  in  $P(\mathbf{M})$ , we say  $E \preceq F$  if and only if there exists a partial isometry  $U \in \mathbf{M}$  such that  $U^*U = E$  and  $UU^* = F_1 \leq F$ . Besides, ' $\preceq$ ' is an equivalence relation on  $P(\mathbf{M})$ .

Note that  $\dim EH = \dim FH$ , if  $E \sim F$ . But, the converse is not true in general, since  $\dim EH = \dim FH$  doesn't guarantee the existence of a partial isometry  $U \in \mathbf{M}$  for which  $U^*U = E$  and  $UU^* = F$  hold.

Now we can state the following result on  $\sim$ .

**THEOREM 2.1.** For  $E, F \in P(\mathbf{M})$ ,  $E \sim F$  and  $F \sim E$  imply  $E \sim F$ . Besides, given  $E, F \in P(\mathbf{M})$  one and only one of the relations  $E \prec F$ ,  $E \sim F$  or  $F \prec E$  holds.

Motivated by the concepts of finite and infinite sets in set theory, we say that  $E \in P(\mathbf{M})$  is *finite* (relative to  $\mathbf{M}$ ), if  $E \sim F$  for any subprojection  $F$  of  $E$  belonging to  $\mathbf{M}$ ; i.e. if  $E \sim F \leq E$  and  $F \in P(\mathbf{M})$ , then  $F = E$ . We say that  $E$  is *infinite* (relative to  $\mathbf{M}$ ), if it is not finite. In this case, there exists a  $F \in P(\mathbf{M})$  such that  $E \sim F < E$ .

The following lemma of [19] is a key result on which are based the definitions of a fundamental sequence and a relative dimension function of  $\mathbf{M}$ .

**LEMMA 2.1.** Let  $E, F \in P(\mathbf{M})$ ,  $E \neq 0$  and  $F$  finite. Then there exists a finite sequence  $\{G_i\}_1^p$  of mutually orthogonal projections in  $\mathbf{M}$  such that

$$(i) \quad E = G_1 + G_2 + \dots + G_p,$$

$$(ii) \quad \sum_{i=1}^p G_i \leq F \quad \text{and}$$

$$(iii) \quad F - \sum_{i=1}^p G_i \sim E.$$

Besides, this number  $p$  is uniquely determined by  $E$  and  $F$ , and is denoted by  $[\frac{F}{E}]$ .

Note that  $[\frac{F}{E}] \in \mathbf{N} \cup \{0\}$  and  $[\frac{F}{E}] = 0$  if  $F \prec E$ .

A projection  $E \in \mathbf{M}$  is said to be *minimal* if for any projection  $F \in \mathbf{M}$  with  $F \leq E$  we have  $F = 0$  or  $F = E$ . Since these projections play an exceptional role, this

fact is taken care of in the following

**DEFINITION 2.2.** Let  $\{E_1, E_2, \dots\}$  be an infinite sequence in  $P(\mathbf{M})$  with each  $E_i \neq 0$  and finite. If  $\left[\frac{E_i}{E_{i+1}}\right] \geq 2$  for all  $i$ , then we say that  $\{E_i\}$  is a *fundamental sequence* in  $\mathbf{M}$ . If  $E$  is a minimal projection in  $\mathbf{M}$ , then also  $\{E\}$  is called a *fundamental sequence* in  $\mathbf{M}$ .

We note that the minimal projections are finite ones in  $\mathbf{M}$ . In [19] Murray and von Neumann establish that there exists at least one fundamental sequence in  $\mathbf{M}$ , if there exists a non-zero finite projection in  $\mathbf{M}$ . Given a fundamental sequence  $\{E_i\}$  in  $\mathbf{M}$ , for two finite non-zero projections  $E$  and  $F$  in  $\mathbf{M}$  is defined a positive real number  $\left(\frac{F}{E}\right)$  by the following

**THEOREM 2.2.** If  $\{E_i\}_1^\infty$  is a fundamental sequence in  $\mathbf{M}$  and  $E, F \in P(\mathbf{M}), E, F$  non-zero and finite, then

$$\lim_i \frac{\left[\frac{F}{E_i}\right]}{\left[\frac{E}{E_i}\right]} = \left(\frac{F}{E}\right)$$

exists as a positive real number, where by  $\lim$  we mean the value at  $i = 1$  when consists of a minimal projection.

In [19] is developed a functional calculus for  $\left(\frac{F}{E}\right)$ , which suggests the following concept.

**DEFINITION 2.3.** A function  $D: P(\mathbf{M}) \rightarrow [0, \infty]$  is called a *relative dimension function* of  $\mathbf{M}$  if

- (i)  $D(0) = 0$ ;
- (ii)  $E \sim F \Rightarrow D(E) = D(F)$  and
- (iii)  $EF = 0 \Rightarrow D(E + F) = D(E) + D(F)$

for projections  $E, F$  in  $\mathbf{M}$ .

If  $\mathbf{M}$  has a non-zero finite projection  $E$ , we can construct a fundamental sequence  $\{E_i\}$  in  $\mathbf{M}$  by Lemma 8.13 of [19] and define a relative dimension function  $D_M$  using  $\left(\frac{F}{E}\right)$  for  $F \in P(\mathbf{M})$ . More precisely, we have the following

THEOREM 2.3. Let  $\mathbf{M}$  be a factor on  $H$ . Then:

(i) If no non-zero finite projection belongs to  $\mathbf{M}$ , let

$$D_{\mathbf{M}}(F) = \begin{cases} 0 & \text{if } F = 0 \\ \infty & \text{if } F \in P(\mathbf{M}), F \neq 0. \end{cases}$$

If  $\mathbf{M}$  has a non-zero finite projection  $E$ , let

$$D_{\mathbf{M}}(F) = \begin{cases} 0 & \text{if } F = 0 \\ \left(\frac{F}{E}\right) & \text{if } F \in P(\mathbf{M}), F \neq 0, F \text{ finite} \\ \infty & \text{if } F \in P(\mathbf{M}) \text{ and } F \text{ is infinite} \end{cases}$$

where  $\{E_n\}$  is a fundamental sequence in  $\mathbf{M}$ . In this case,  $D_{\mathbf{M}}$  is independent of the fundamental sequence  $\{E_n\}$  used in the definition.

In both the cases,  $D_{\mathbf{M}}$  thus defined is a relative dimension function of  $\mathbf{M}$ .

(ii) If  $D'$  is another relative dimension function of  $\mathbf{M}$ , then  $D' = cD_{\mathbf{M}}$  for some constant  $c \in (0, \infty)$ .

(iii)  $E \leq F \iff D_{\mathbf{M}}(E) \leq D_{\mathbf{M}}(F)$ , where  $E \sim F$  if  $F \leq E$ .

(iv) The range  $\Delta$  of  $D_{\mathbf{M}}$  satisfies the following properties:

(a)  $\Delta = [0, \infty]$ .

(b)  $0 \in \Delta$ ;  $\sup \Delta = t_0 > 0$  and  $t_0 \in \Delta$ .

(c) For  $t_1, t_2 \in \Delta$ ,  $t_2 > t_1 \implies t_2 - t_1 \in \Delta$ .

(d) If  $\{t_i\}_{i=1}^{\infty} \subset \Delta$  with  $\sum_{i=1}^{\infty} t_i \leq t_0 = \sup \Delta$ , then  $\sum_{i=1}^{\infty} t_i \in \Delta$ .

(v) The only sets  $\Delta$  which satisfy (a)-(d) of (iv) are the following ones:

(I<sub>n</sub>):  $\Delta = \{k\delta : k = 0, 1, \dots, n\}$  for  $n \in \mathbb{N}$ ,  $0 < \delta < \infty$

(I<sub>∞</sub>):  $\Delta = \{k\delta : k = 0, 1, 2, \dots, \infty\}$ ,  $0 < \delta < \infty$

(II<sub>1</sub>):  $\Delta = \{t : 0 \leq t \leq t_0\}$ ,  $0 < t_0 < \infty$

(II<sub>∞</sub>):  $\Delta = \{t : 0 \leq t \leq \infty\}$

(III):  $\Delta = \{0, \infty\}$ .

If we normalise  $D_{\mathbf{M}}$  by a suitable positive multiple (vide (ii)) we can take  $\delta = 1$  in (I<sub>n</sub>), and (I<sub>∞</sub>) and  $t_0 = 1$  in (II<sub>1</sub>).

Then we have  $\Delta = \{0, 1, \dots, n\}$  for  $(I_n)$ ;  $\Delta = \{0, 1, 2, \dots, \infty\}$  for  $(I_\infty)$  and  $\Delta = \{t: 0 \leq t \leq 1\}$  for  $(II_1)$ .

By an *isomorphism*  $\Phi$  from  $A$  onto  $B$ , where  $A$  and  $B$  are  $*$ -algebras, we mean a  $*$ -isomorphism. If a  $*$ -algebra  $A$  satisfies a property  $(P)$  and if this property  $(P)$  holds for an isomorphic image of  $A$ , then we say that  $(P)$  is an *isomorphism invariant*. It turns out that the range  $\Delta$  of  $D_M$  is an isomorphism invariant and hence is used for the classification of factors. Thus we have the following.

**DEFINITION 2.4.** A factor  $M$  on  $H$  is said to be of *type*  $I_n$ ,  $n \in \mathbb{N}$ , *type*  $I_\infty$ , *type*  $II_1$ , *type*  $II_\infty$  or *type* III according as the range  $\Delta$  of the corresponding normalized relative dimension function  $D_M$  of  $M$  is given by  $\Delta = \{0, 1, \dots, n\}$ ,  $\Delta = \{0, 1, 2, \dots, \infty\}$ ,  $\Delta = \{t: 0 \leq t \leq 1\}$ ,  $\Delta = \{t: 0 \leq t \leq \infty\}$  or  $\Delta = \{0, \infty\}$ , respectively. When  $M$  is of type  $I_n$  or  $I_\infty$  we say that  $M$  is of *type* I or *discrete*; when  $M$  is of type  $II_1$  or  $II_\infty$ , we say that  $M$  is of *type* II or *continuous* and finally, when  $M$  is of type III we also say that  $M$  is *purely infinite*. When  $M$  is not of type III, we say  $M$  is *semi-finite*.

Now we can state the following

**THEOREM 2.4.** For a factor  $M$  on  $H$  only one of the types  $I_n$ ,  $I$ ,  $II_1$ ,  $II_\infty$  or III can occur. Besides, any two isomorphic factors on separable Hilbert spaces have the same type. If  $M$  is a factor, then  $M$  is of type I (respy., of type II, of type III) if and only if  $M'$  is so.

**NOTE 2.1.** The classification theory of Murray and von Neumann [19] has been extended later to arbitrary von Neumann algebras on Hilbert spaces of arbitrary dimension and accordingly, there exist unique mutually orthogonal central projections  $P_1, P_2$  and  $P_3$  of  $R$  such that  $RP_1$  is of type I if  $P_1 \neq 0$ ;  $RP_2$  is of type II if  $P_2 \neq 0$  and  $RP_3$  is of type III if  $P_3 \neq 0$ . Besides,  $P_1 + P_2 + P_3 = I$ . When  $P_3 = 0$ , we say that  $R$  is semi-finite; when  $P_2$  is finite and non-zero, we say that  $RP_2$  is of type  $II_1$  and when  $P_2$  is infinite, we say that  $RP_2$  is of type  $II_\infty$ . For details, the reader may refer to [9], [26], etc.

**3.-Matrix representation of an operator.** The results of this section play a crucial role in the sequel. Suppose  $H = \sum_1^{\infty} H_i$ , where all the spaces  $H_i$  are isomorphic to the fixed Hilbert space  $H_1$ . Here we represent each  $T \in L(H)$  as a matrix  $(T_{ij})$  of operators in  $L(H_1)$ .

Let  $U_i: H_1 \rightarrow H_i$  be an isomorphism. Considering  $H_i$  as a closed subspace of  $H$ , it is easy to observe that the adjoint  $U_i^*$  is a linear mapping from  $H$  onto  $H_1$  such that  $U_i^*(H - H_i) = 0$  and  $U_i^*$  maps  $H_i$  isometrically onto  $H_1$ . Consequently,  $U_i^*U_i$  is the identity operator on  $H_1$  and  $U_i U_i^*$  is the projection  $P_i$  of  $H$  onto  $H_i$ . For  $T \in L(H)$ , let  $T_{ij} = U_i^* T U_j$ . Then  $T_{ij}: H_1 \rightarrow H_1$ , linear and  $\|T_{ij}\| = \|U_i^* T U_j\| \leq \|T\|$ . Thus  $(T_{ij})_{ij}$  is a matrix of operators in  $L(H_1)$  such that  $\|T_{ij}\| \leq \|T\|$  for all  $i, j$ .

Conversely, suppose  $(T_{ij})$  is a matrix of operators  $T_{ij} \in L(H_1)$  such that  $T_{ij} = U_i^* T U_j$  for some linear mapping  $T: H \rightarrow H$ . Then, for  $x \in H$ , we have  $\sum_{i=1}^{\infty} \left\| \sum_{j=1}^{\infty} T_{ij} U_j^* x \right\|^2 = \sum_{i=1}^{\infty} \left\| \sum_{j=1}^{\infty} U_i^* T P_j x \right\|^2 = \sum_{i=1}^{\infty} \left\| U_i^* T P_i x \right\|^2 = \sum_{i=1}^{\infty} \left\| P_i T x \right\|^2 = \|T x\|^2$ , since  $U_i^*$  is an isometry on  $H_i$ .

Thus  $T \in L(H)$  if and only if there exists a constant  $C > 0$ , such that

$$\sum_{i=1}^{\infty} \left\| \sum_{j=1}^{\infty} T_{ij} U_j^* x \right\|^2 \leq C^2 \|x\|^2 \quad (1)$$

for  $x \in H$ . When  $(T_{ij})$  with  $T_{ij} = U_i^* T U_j$  satisfies (1), we say that  $(T_{ij})$  is *bounded*.

Thus, the matrix  $(T_{ij})$  of operators  $T_{ij} \in L(H_1)$  with  $T_{ij} = U_i^* T U_j$  for a linear mapping  $T: H \rightarrow H$  is bounded if and only if  $T \in L(H)$ . In this case, we describe  $T$  as the matrix  $(T_{ij})$  and observe that

$$\begin{aligned} T x &= \sum_{j=1}^{\infty} T P_j x = \sum_{j=1}^{\infty} \sum_{i=1}^{\infty} P_i T P_j x = \sum_{j=1}^{\infty} \sum_{i=1}^{\infty} U_i U_i^* T U_j U_j^* x \\ &= \sum_{j=1}^{\infty} \sum_{i=1}^{\infty} U_i T_{ij} U_j^* x \end{aligned}$$

for  $x \in H$ . This shows that the correspondence  $T \sim (T_{ij})$  is a bijective correspondence from  $L(H)$  onto all bounded matrices  $(T_{ij})$  of operators  $T_{ij} \in L(H_1)$ , with  $T_{ij} = U_i^* T U_j$ .

4.-Factors of type  $I_n$ ,  $n \in \mathbb{N}$  and  $I_\infty$ . For a unitary space  $H$  of dimension  $n$ ,  $L(H)$  is a type  $I_n$ -factor. If  $H$  is separable, then  $L(H)$  is a type  $I_\infty$ -factor.

If  $\mathbf{M}$  is a type  $I_n$ -factor on  $H$ ,  $n \in \mathbb{N} \cup \{\infty\}$ , then there exists an orthogonal family  $\{E_i\}_1^n$  of minimal equivalent projections in  $\mathbf{M}$  such that  $\sum_1^n E_i = I$ . Let  $H_i = E_i H$ . For  $T \in \mathbf{M}'$ , let  $T \sim (T_{ij})$ , with  $T_{ij} = U_{1i}^* T U_{1j}$ , where the  $U_{1i}$  are partial isometries in  $\mathbf{M}$  with the initial domain  $H_1$  and final domain  $H_i$ . Since  $T \in \mathbf{M}'$ ,  $T E_i = E_i T$  and hence  $T$  is reduced by  $E_i H$ . Let  $T_o = T|_{E_1 H}$ . Then it is easy to observe that  $T_{ij} = \delta_{ij} T_o$  for  $i, j = 1, 2, \dots, n$ . Thus  $T \sim (\delta_{ij} T_o)$  (vide Section 3). Since  $\mathbf{M} = \mathbf{M}''$ , the factor  $\mathbf{M}$  on the space  $H$  must consist of all matrices  $A \sim (A_{ij})$  (bounded in the sense of Section 3, if  $n = \infty$ ) of operators  $A_{ij} = U_{1i}^* A U_{1j} \in L(H_1)$ , which commute with all matrices of the form  $(\delta_{ij} T_o)$ , being  $T_o = T|_{H_1}$  and  $T \in \mathbf{M}'$ . An operational calculus of these matrices readily shows that  $T_o A_{ij} = A_{ij} T_o$  for all  $i, j$  and hence  $A_{ij} \in (\mathbf{M}' E_1)'$ . But,  $(\mathbf{M}' E_1)'$  can be shown to coincide with  $E_1 \mathbf{M} E_1$  and hence  $\mathbf{M}$  consists of all the matrices of the form  $(A_{ij})$ , with  $A_{ij} \in E_1 \mathbf{M} E_1$  (and bounded, if  $n = \infty$ ). On the other hand, by the spectral theorem the von Neumann algebra  $E_1 \mathbf{M} E_1$  on  $H_1$  is the norm closure of the linear span of all the projections in  $E_1 \mathbf{M} E_1$ . Since  $E_1$  is a minimal projection, this shows that  $E_1 \mathbf{M} E_1 = C E_1$ . Consequently,  $\mathbf{M} = \{(\lambda_{ij}) : \lambda_{ij} \in C \text{ and bounded if } n = \infty\}$ . Thus there exists an isomorphism  $U: H \rightarrow \sum_1^n H_1$  such that  $U A U^{-1} = (A_{ij}) = (\lambda_{ij})$  for  $A \in \mathbf{M}$ . In particular,  $\mathbf{M}$  is isomorphic to  $L(K)$ , where  $K = \{(\lambda_i)_1^n : \lambda_i \in C, \sum_1^n |\lambda_i|^2 < \infty\}$ .

Thus we have proved the following

**THEOREM 4.1.** Suppose  $\mathbf{M}$  is a type  $I_n$  factor on a unitary space  $H$  or on a separable Hilbert space  $H$  with  $n \in \mathbb{N} \cup \{\infty\}$ . Then there exists a closed subspace  $H_1$  of  $H$  and an isomorphism  $V$  from  $H$  onto  $\sum_1^n H_1$  such that  $V A V^{-1} = (A_{ij}) = (\lambda_{ij})$  for  $A \in \mathbf{M}$ . In particular,  $\mathbf{M}$  is isomorphic to  $L(K)$ , with  $\dim K = n$ .

From the above theorem we observe that a factor of type  $I_n$  is isomorphic to  $L(K)$  with  $\dim K = n$ ,  $n \in \mathbb{N} \cup \{\infty\}$ . Thus all factors of type  $I_n$  (respy., of type  $I_\infty$ ) on

a separable Hilbert space) are isomorphic to each other.

**5.-Structure theorem for type  $II_\infty$ -factors.** Every type  $II_\infty$ -factor can be obtained as the tensor product of a type  $II_1$ -factor and  $L(H_2)$  for a suitable separable Hilbert space  $H_2$ . In fact, suppose  $\mathbf{M}$  is a type  $II_\infty$ -factor on  $H$ . Then, by definition, there exists  $E \in P(\mathbf{M})$ ,  $E \neq 0$ , and finite such that  $E\mathbf{M}E$  is a type  $II_1$ -factor on  $EH$ . Consequently, by a classical result on type  $II_\infty$ -factors there exists an orthogonal sequence of projections  $\{E_i\}_1^\infty$  in  $\mathbf{M}$  such that  $I = \sum_1^\infty E_i$  and  $E = E_1 \cdot E_2 \cdot \dots$ . Then  $H$  is isomorphic to  $\sum_1^\infty EH$ . Consequently, as discussed in Section 4, it can be shown that  $\mathbf{M} = \{(A_{ij}) : A_{ij} \in E\mathbf{M}E, \text{ and the matrix is bounded in the sense of Section 4}\}$ . This matrix representation is written in the form  $\mathbf{M} = (E\mathbf{M}E) \otimes L(H_2)$  where  $H_2 = \{(\lambda_i)_1^\infty : \lambda_i \in \mathbb{C}, \sum_1^\infty |\lambda_i|^2 < \infty\}$ . (Vide § 2 of Chapter I of [9]). Thus we obtain the following structure theorem of type  $II_\infty$ -factors.

**THEOREM 5.1.** Every type  $II_\infty$ -factor  $\mathbf{M}$  on a separable Hilbert space  $H$  is of the form  $\mathbf{M}_1 \otimes L(H_2)$  for a suitable type  $II_1$ -factor  $\mathbf{M}_1$ , where  $H_2$  is a separable Hilbert space.

Thus the study of type  $II_\infty$ -factors is reduced to that of type  $II_1$ -factors.

**6.-Measure theoretic construction of type I and type II-factors.** In [25] von Neumann modified the construction given earlier in [19] and constructed factors of type I, II and III on a separable Hilbert space. Till the appearance of [25] the existence of a type III-factor was unknown. In this section we follow [25] and restrict our attention to the construction of type I and type II-factors only, while in the next section we shall take up the study of type III-factors.

Let  $(X, S, \mu)$  be a  $\sigma$ -finite measure space with  $\mu(X) > 0$  and let  $\mathcal{C}$  be an at most countable subfamily of  $S$  such that  $S$  is the  $\sigma$ -algebra generated by  $\mathcal{C}$ ,  $\cup_{C \in \mathcal{C}} C = X$ , and  $\mu(C) < \infty$  for  $C \in \mathcal{C}$ . Further, we assume that for  $x, y \in X$  such that  $x \in E \iff y \in E$  for all  $E \in \mathcal{C}$ , then  $x = y$ . In the sequel, all the measure spaces considered are supposed to satisfy the above assumptions.

**DEFINITION 6.1.** Let  $G$  be any at most countable group. We say that  $G$  is an  $(X, S, \mu)$

-group if the following conditions hold:

- (i) For each  $g \in G$  there exists a bijective map  $T_g: X \rightarrow X$  given by  $T_g x = xg$  such that  $T_{g_2} T_{g_1} = T_{g_2 g_1}$  for  $g_1, g_2 \in G$ . (This implies  $T_e x = x$  and  $(T_g)^{-1} x = T_{g^{-1}} x$  for  $x \in X$ , where  $e$  is the identity of  $G$ ).
- (ii) For  $A \in S$  and for  $g \in G$ , let  $Ag = \{xg : x \in A\} = T_g(A)$ . Then  $A \in S$  implies  $Ag \in S$ .
- (iii) The measures  $\mu_g$  on  $S$  defined by  $\mu_g(A) = \mu(Ag)$  for  $A \in S$  and  $g \in G$  are absolutely continuous with respect to  $\mu$  (i.e.  $\mu_g \ll \mu$  for all  $g \in G$ ).

The following definition is essential for the construction of factors.

**DEFINITION 6.2.** Let  $G$  be an  $(X, S, \mu)$ -group. We say that

- (i)  $G$  is *free* if  $g \neq e$  and  $A = \{x \in X : xg = x\}$ , then  $\mu^*(A) = 0$ , where  $\mu^*$  is the outer measure induced by  $\mu$  on  $\mathcal{P}(X)$ ;
- (ii)  $G$  is *ergodic* if  $A \in S$  such that  $\mu(Ag \Delta A) = 0$  for all  $g \in G$  implies that either  $\mu(A) = 0$  or  $\mu(X \setminus A) = 0$ ;
- (iii)  $G$  is *measurable* if there exists a  $\sigma$ -finite measure  $\nu$  on  $S$  such that  $\nu \equiv \mu$  (i.e.  $\nu \ll \mu$  and  $\mu \ll \nu$ ) and  $\nu(A) = \nu(Ag)$  for all  $A \in S$  and  $g \in G$  (i.e.  $\nu$  is  $G$ -invariant); and
- (iv)  $G$  is *non-measurable* if  $G$  is not measurable.

In the sequel we assume that  $G$  is an utmost countable  $(X, S, \mu)$ -group, which is free and ergodic.

Let

$H_\mu^G = \{F(x, g) : X \times G \rightarrow \mathbb{C} \text{ such that } F(\cdot, g) \text{ is } S\text{-measurable for each } g \in G \text{ and } \sum_{g \in G} \int_X |F(x, g)|^2 d\mu(x) < \infty\}$ , with the inner product given by

$$\langle F_1, F_2 \rangle = \sum_{g \in G} \int_X F_1(x, g) \overline{F_2(x, g)} d\mu(x).$$

Clearly,  $H_\mu^G = \sum_{g \in G} L^2(\mu)$ . The hypotheses on  $(X, S, \mu)$  imply that  $L^2(\mu)$  is non-trivial and separable. As  $G$  is at most countable,  $H_\mu^G$  is either a unitary space or a separable Hilbert space.

With the aim of constructing a factor we define certain linear transformations on  $H_\mu^G$  as below.

**DEFINITION 6.3.** Let  $F(.,.) \in H_\mu^G$ ,  $g_0 \in G$  and  $\psi$  a bounded  $S$ -measurable complex function on  $X$ . Let  $\frac{d\mu_g}{d\mu}$  be the Radon-Nikodým derivative of  $\mu_g$  with respect to  $\mu$  for  $g \in G$ . Then we define

$$(a) \quad (\bar{U}_{g_0} F)(x, g) = \left( \frac{d\mu_{g_0}(x)}{d\mu} \right)^{\frac{1}{2}} F(xg_0, gg_0);$$

$$(b) \quad (\bar{V}_{g_0} F)(x, g) = F(x, g_0^{-1}g);$$

$$(c) \quad (\bar{W}F)(x, g) = \left( \frac{d\mu_{g^{-1}}(x)}{d\mu} \right)^{\frac{1}{2}} F(xg^{-1}, g^{-1});$$

$$(d) \quad (\bar{L}_\psi F)(x, g) = \psi(x)F(x, g);$$

and

$$(e) \quad (\bar{M}_\psi F)(x, g) = \psi(xg^{-1})F(x, g).$$

The following theorem is established in [25].

**THEOREM 6.1.**

- (i)  $\bar{U}_g, \bar{V}_g, \bar{W}, \bar{L}_\psi$  and  $\bar{M}_\psi$  as in Definition 6.3 are bounded operators on  $H_\mu^G$  and  $\bar{U}_g, \bar{V}_g$  and  $\bar{W}$  are even unitary.
- (ii) Let  $\Omega = \{ \bar{U}_g, \bar{L}_\psi; g \in G, \psi \text{ as in Definition 6.3 but arbitrary} \}$  and  $\hat{\Omega} = \{ \bar{V}_g, \bar{M}_\psi; g \in G, \psi \text{ as in Definition 6.3 but arbitrary} \}$ . Then  $R(\Omega) = (\hat{\Omega})'$  and  $R(\hat{\Omega}) = \Omega'$ , where  $\Omega' = \{ T \in L(H_\mu^G) : TA = AT \text{ for } A \in \Omega \}$ , etc.
- (iii)  $R(\Omega)$  and  $R(\hat{\Omega})$  are *spatially isomorphic* and the spatial isomorphism is implemented by  $\bar{W}$  in the sense that the isomorphism  $\Phi: R(\Omega) \rightarrow R(\hat{\Omega})$  is given by  $\Phi(A) = \bar{W}A\bar{W}^{-1}$ ,  $A \in R(\Omega)$ . Each is the commutant of the other.
- (iv) Since  $G$  is free and ergodic,  $R(\Omega)$  and  $R(\hat{\Omega})$  are factors.

**NOTATION 6.1.** In the sequel we shall denote  $R(\Omega)$  and  $R(\hat{\Omega})$  by  $\mathbf{M}(X, G, \mu)$  and  $\mathbf{M}'(X, G, \mu)$ , respectively.

In order to define relative dimension functions  $D_M$  and  $D_{M'}$ , of  $\mathbf{M}(X, G, \mu)$  and  $\mathbf{M}'(X, G, \mu)$ , we make use of the results of Section 3.

Since  $H_\mu^G = \sum_{g \in G} L^2(\mu) + L^2(\mu)$ , by Section 3 every  $T \in L(H_\mu^G)$  has a matrix representation of the form  $(T_{g,h})_{g,h \in G}$  where each  $T_{g,h}$  is a bounded linear operator on  $L^2(\mu)$  and  $\|T_{g,h}\| \leq \|T\|$  for  $g, h \in G$ . However, when  $T$  belongs to  $\mathbf{M}(X, G, \mu)$  or  $\mathbf{M}'(X, G, \mu)$  we can describe  $T$  more specifically. To this end, we define the following mappings on  $L^2(\mu)$ .

**DEFINITION 6.4.** For  $f \in L^2(\mu)$  let

$$(A) \quad (U_g f)(x) = \left( \frac{d\mu_g(x)}{d\mu} \right)^{1/2} f(xg) \text{ for } g \in G.$$

and

$$(B) \quad (L_\psi f)(x) = \psi(x)f(x) \text{ for any bounded } S\text{-measurable complex function } \psi \text{ on } X.$$

Then it is known that  $U_g, L_\psi$  are bounded operators on  $L^2(\mu)$  and  $U_g$  is even unitary. Recall that  $L^2(\mu)$  is a unitary space or a separable Hilbert space.

Now we can describe  $(T_{g,h})$  as below.

**THEOREM 6.2.** Let  $T$  be a bounded operator on  $H_\mu^G$  with its matrix representation

$(T_{g,h})_{g,h \in G}$ . Then:

$$(i) \quad T \in \mathbf{M}(X, G, \mu) \text{ if and only if } T_{g,h} = L_{\psi_{g^{-1}(x)}} U_{h^{-1}g} \text{ and}$$

$$(ii) \quad T \in \mathbf{M}'(X, G, \mu) \text{ if and only if } T_{g,h} = L_{\psi_{gh^{-1}(x)}} \text{ where } \psi_g \text{ is a bounded } S\text{-measurable complex function on } X.$$

**NOTATION 6.2.** In the terminology of Theorem 6.2 we shall write  $T [[\psi_g(x)]]_{g \in G}$  for  $T \in \mathbf{M}(X, G, \mu)$  (respy., for  $T \in \mathbf{M}'(X, G, \mu)$ ). (Note that the totality of the functions  $\{\psi_g : g \in G\}$  is the same for both  $\mathbf{M}(X, G, \mu)$  and  $\mathbf{M}'(X, G, \mu)$ .)

Making use of the results in [19] and [25] we can determine the types of  $\mathbf{M}(X, G, \mu)$  and  $\mathbf{M}'(X, G, \mu)$ , when  $G$  is measurable and the following theorem describes their type classification.

**THEOREM 6.3.** Suppose  $G$  is an utmost countable, free, ergodic and measurable  $(X, S, \mu)$ -group, with  $\nu \in \mu$ , where  $\nu$  is a  $\sigma$ -finite  $G$ -invariant measure on  $S$ . Let  $\nu^*$  be the outer measure induced by  $\nu$ . Let  $\frac{d\nu(x)}{d\mu} = k(x)$  and let  $T \approx [[\psi_g(x)]]_{g \in G}$  for  $T \in \mathbf{M}(X, G, \mu)$  or for  $T \in \mathbf{M}'(X, G, \mu)$ . Let  $D_{\mathbf{M}}(E) = \int_X \psi_e(x) k(x) d\mu(x)$  and  $D_{\mathbf{M}'}(E') = \int_X \tilde{\psi}_e(x) k(x) d\mu(x)$  where  $E \approx [[\psi_g(x)]]_{g \in G}$ ,  $E' \approx [[\tilde{\psi}_g(x)]]_{g \in G}$ ,  $E \in \mathbf{M}(X, G, \mu)$  and  $E' \in \mathbf{M}'(X, G, \mu)$ . (Since  $G$  is ergodic, the function  $k(x)$  is uniquely determined but for a positive constant multiple) Then the following hold:

- (i)  $D_{\mathbf{M}}$  is a relative dimension function of  $\mathbf{M}(X, G, \mu)$  and there exists a projection  $E \in \mathbf{M}(X, G, \mu)$  with  $0 < D(E) < \infty$ . Thus  $\mathbf{M}(X, G, \mu)$  is a non-type III-factor. A similar result holds for  $D_{\mathbf{M}'}$  and  $\mathbf{M}'(X, G, \mu)$ .
- (ii) If  $\nu(X) < \infty$  and if there exists  $x \in X$  with  $\nu^*({x}) > 0$ , then there exists  $N \subset X$  with  $\nu^*(N) = 0$  such that the one-point sets  $\{y\} \in S$  and  $\nu^*({y}) = \nu^*({x})$  for all  $y \in X \setminus N$ , where  $\tilde{S}$  is the Lebesgue completion of  $S$  with respect to  $\nu$ . Thus we can take  $X = \{x_1, x_2, \dots, x_n\}$  (say) with  $\nu^*(x_i) = \nu^*(x_j) = \varepsilon$  for  $i \neq j$ , with  $0 < \varepsilon < \infty$ . Then  $\mathbf{M}(X, G, \mu)$  and  $\mathbf{M}'(X, G, \mu)$  are of type  $I_n$ . Besides,  $\frac{1}{\varepsilon} D_{\mathbf{M}}$  and  $\frac{1}{\varepsilon} D_{\mathbf{M}'}$  are the normalised relative dimension functions of  $\mathbf{M}(X, G, \mu)$  and  $\mathbf{M}'(X, G, \mu)$  respectively.
- (iii) If  $\nu(X) = \infty$  and  $\nu^*({x}) > 0$  for some  $x \in X$ , then a result similar to (ii) holds with  $X = \{x_i\}_1^\infty$  and  $\nu^*({x_i}) = \nu^*({x_j})$  for  $i \neq j$ . (Note that  $\nu^*({x}) < \infty$ ). Consequently,  $\mathbf{M}(X, G, \mu)$  and  $\mathbf{M}'(X, G, \mu)$  are of type  $I_\infty$ .
- (iv) If  $\nu^*({x}) = 0$  for each  $x \in X$ , then  $\mathbf{M}(X, G, \mu)$  and  $\mathbf{M}'(X, G, \mu)$  are of type  $II_1$  if  $\nu(X) < \infty$  and of type  $II_\infty$  if  $\nu(X) = \infty$ . When  $\nu(X) < \infty$ ,  $\frac{1}{\nu(X)} D_{\mathbf{M}}$  and  $\frac{1}{\nu(X)} D_{\mathbf{M}'}$  are the normalised relative dimension functions of  $\mathbf{M}(X, G, \mu)$  and  $\mathbf{M}'(X, G, \mu)$ , respectively.

With the general construction established in the above, following [19] we now give some examples of type II-factors.

**EXAMPLES 6.1 (Type II-factors).** Let  $X$  be the set  $X_\infty = \mathbb{R}$  or set  $X_1 = [0, 1)$ , the set

$\mathbb{R} \bmod 1$ . Let  $S = \mathcal{B}(X)$ , the  $\sigma$ -algebra of all Borel sets in  $X$  and let  $\mu$  be the Borel restriction of the Lebesgue measure.

We take  $G$  to be any one of the following additive groups.

- ( $\alpha$ )  $G_{\theta} = \{m + n\theta : m, n \in \mathbb{Z}\}$ ,  $\theta$  an irrational number.
- ( $\beta$ )  $G_{\text{rat}} = \{\text{all rational numbers in } \mathbb{R}\}$ .
- ( $\gamma$ )  $G_{\text{rat}, p} = \{\frac{m}{p^n} : m \in \mathbb{Z}, n = 0, 1, 2, \dots\}$ , where  $p$  is any given number  $2, 3, \dots$  (not necessarily prime!).

For  $g \in G$  we define  $xg = x + g$  for  $x \in X_{\infty}$  and  $xg = x + g \pmod{1}$  for  $x \in X_1$ . Then it can be shown that  $G$  is a free, ergodic  $(X, S, \mu)$ -group. Since  $\mu$  is translation invariant in  $X_{\infty}$  as well as in  $X_1$ , we have that  $G$  is measurable with  $\nu = \mu$ . Thus by Theorem 6.3,  $\mathbf{M}(X_{\infty}, G, \mu)$  and  $\mathbf{M}'(X_{\infty}, G, \mu)$  are type II  $\infty$ -factors, while  $\mathbf{M}(X_1, G, \mu)$  and  $\mathbf{M}'(X_1, G, \mu)$  are type II<sub>1</sub>-factors.

**NOTE 6.1.** Since the family of the groups  $G_{\theta}$  is uncountable, apparently we have given above a continuum of type II<sub>1</sub> and type II $\infty$ -factors on a separable Hilbert space. But, all the type II<sub>1</sub>-factors given in Examples 6.1 are spatially isomorphic to each other. (Vide Section 8).

**7.-Construction of type III-factors.** When the  $(X, S, \mu)$ -group  $G$  is non-measurable von Neumann showed in [25] that the factors  $\mathbf{M}(X, S, \mu)$  and  $\mathbf{M}'(X, S, \mu)$  of Notation 6.1 are of type III. The following result gives a sufficient condition for  $G$  to be non-measurable.

**THEOREM 7.1.** Suppose  $G$  is a countable  $(X, S, \mu)$ -group which is free and ergodic. Let  $G_0 = \{g \in G : \mu(A) = \mu(Ag) \text{ for all } A \in S\}$ . Then  $G_0$  is a free  $(X, S, \mu)$ -group and is measurable with  $\nu = \mu$ . If  $G_0$  is ergodic and  $G_0 \neq G$ , then  $G$  is non-measurable.

**THEOREM 7.2.** If  $G$  is a free, ergodic, non-measurable  $(X, S, \mu)$ -group, then the factors  $\mathbf{M}(X, G, \mu)$  and  $\mathbf{M}'(X, G, \mu)$  of Section 6 (vide Notation 6.1) are of type III.

Making use of Theorems 7.1 and 7.2, the following example of a type III-factor on a separable Hilbert space is given in [25].

**EXAMPLE 7.1 (A type III-factor).** Let  $X = \mathbb{R}$  and  $S = \mathcal{B}(\mathbb{R})$ , the  $\sigma$ -algebra of all Borel subsets of  $\mathbb{R}$ . Let  $\mu$  be the Borel restriction of the Lebesgue measure in  $\mathbb{R}$ . We take  $G$  to be the group of transformations  $\{T(\rho, \sigma) : \rho > 0, \rho, \sigma \text{ rational}\}$ , where

$$T(\rho, \sigma)x = \rho x + \sigma, x \in \mathbb{R}$$

and the group operation of  $G$  is given by composition of transformations. Clearly,  $G$  is a free  $(X, S, \mu)$ -group and is countably infinite. The group  $G_0$  of Theorem 7.1 is given by

$$\begin{aligned} G_0 &= \{T(\rho, \sigma) : \mu(T(\rho, \sigma)A) = \mu(A) \text{ for } A \in S\} \\ &= \{T(\rho, \sigma) : \rho\mu(A) = \mu(A) \text{ for } A \in S\} \\ &= \{T(1, \sigma) : \sigma \text{ rational}\} \end{aligned}$$

and hence  $G_0 \neq G$ . Besides,  $G_0$  is isomorphic to  $G_{\text{rat}}$  (vide Examples 6.1) which is ergodic and hence  $G_0$  itself is ergodic. Therefore, by Theorem 7.1,  $G$  is non-measurable and hence, by Theorem 7.2,  $\mathbf{M}(X, G, \mu)$  and  $\mathbf{M}'(X, G, \mu)$  are type III-factors.

Note that  $\mathbf{M}(X, G, \mu)$  and  $\mathbf{M}'(X, G, \mu)$  are spatially isomorphic by Theorem 6.1(iii).

Before proceeding further, we make some comments on [19] and [25]. In [19], Murray and von Neumann gave the type classification theory of factors on  $H$  and constructed the factors  $\mathbf{M}(X, G, \mu)$  and  $\mathbf{M}'(X, G, \mu)$  assuming that  $G$  is an at most countable  $(X, S, \mu)$ -group, which is free and ergodic such that  $\mu(Ag) = \mu(A)$  for all  $A \in S$ . In other words, in the terminology of Theorem 6.3, they assumed  $\nu = \mu$  and hence were led to the construction of factors of type I and II only. At that time, they wondered whether there exists any type III-factor at all. It was only in 1940, von Neumann modified the construction given in [19] introducing the terminology of measurable and non-measurable  $(X, S, \mu)$ -group and thus obtained in [25] the construction of factors of type I, II and III. These results have been described above in Section 6 and in the present section.

**8.-Hyperfiniteness type  $II_1$ -factors.** In [21] Murray and von Neumann answered affirmatively the question whether there exist at least two non-isomorphic type  $II_1$ -factors on  $H$ . This they achieved by studying the class of type  $II_1$ -factors known as approxi

mately finite type  $II_1$ -factors. The main results of [21] will be presented in this section as well as in the next two sections. Here we restrict our study to isomorphism property of these factors and show that the type  $II_1$ -factors in Examples 6.1 are spatially isomorphic.

**DEFINITION 8.1.** A factor  $R$  on  $H$  is said to be hyperfinite (=approximately finite or ATI= almost type I) if there exists an increasing sequence  $(M_i)_1^\infty$  of discrete factors  $M_i$  of finite type  $I_{n_i}$  (so that  $n_i$  divides  $n_{i+1}$ ) such that  $R$  is the von Neumann algebra generated by  $\bigcup_{i=1}^\infty M_i$ .

Murray and von Neumann use the terminology 'approximately finite' and Dixmier [9] calls it hyperfinite, which is also referred to as ATI by Connes.

The sequence  $(n_i)$  involved in Definition 8.1 doesn't play any role in determining the algebraic type of  $R$  when  $R$  is a type  $II_1$ -factor. In fact, the following result is obtained in [21].

**THEOREM 8.1.** Hyperfinite type  $II_1$ -factors exist on  $H$  and any two hyperfinite type  $II_1$ -factors on separable Hilbert spaces are isomorphic.

In Theorem 6.3 we can guarantee that  $M(X,S,\mu)$  and  $M'(X,S,\mu)$  are hyperfinite when  $G$  satisfies some more conditions. In fact, the following theorem has been given in [21].

**THEOREM 8.2.** Suppose in Theorem 6.3 the  $(X,S,\mu)$ -group  $G$  further satisfies one of the following conditions:

(\*)  $\left\{ \begin{array}{l} \text{There exists a sequence } G_1 \quad G_2 \quad \dots \text{ of finite subgroups of } G \text{ such that } G = \\ \bigcup_{i=1}^\infty G_i. \end{array} \right.$

(\*\*)  $G$  is abelian.

Then the factors  $M(X,G,\mu)$  and  $M'(X,G,\mu)$  are hyperfinite type  $II_1$ -factors, whenever they are of type  $II_1$ .

A detailed proof of Theorem 8.2 corresponding to the condition (\*) is found in [21], but the proof corresponding to (\*\*) is postponed to a future publication,

which however didn't take place. Nevertheless, later in 1963 Dye [11] obtained the said result as a particular case of a more general situation.

Returning to the factors  $\mathbf{M}(X_1, G_\emptyset)$ ,  $\mathbf{M}(X_1, G_{\text{rat}})$  and  $\mathbf{M}(X_1, G_{\text{rat}, p})$  of Examples 6.1, we observe that they are hyperfinite type  $\text{II}_1$ -factors by Theorem 8.2 as the groups are abelian (while  $G_{\text{rat}}$  and  $G_{\text{rat}, p}$  also satisfy  $(*)$ ) and hence by Theorem 8.1 they are isomorphic. Now by Theorem XI of [19], Theorem XI of [20] and by the isomorphism between these factors we deduce the following

**COROLLARY 8.1.** The factors  $\mathbf{M}(X_1, G)$  of Examples 6.1 are spatially isomorphic hyperfinite type  $\text{II}_1$ -factors, where  $G$  is any one of the groups  $G_\emptyset$ ,  $G_{\text{rat}}$  and  $G_{\text{rat}, p}$ .

**9.-A simple group-theoretic construction of type  $\text{II}_1$ -factors.** In [21] Murray and von Neumann gave a simplified version of the measure theoretic construction of Section 6, imposing a stringent condition on the group  $G$  to obtain type  $\text{II}_1$ -factors. Before explaining this construction, we make the remark that this construction played a very crucial role in the works of Dusa McDuff [17,18] and Sakai [30,31] to obtain a continuum of non-isomorphic type  $\text{II}_1$  and type III-factors. Vide Sections 12 and 13.

Suppose  $X = \{x_\emptyset\}$ ,  $S = \{\{x_\emptyset\}, \emptyset\}$  and  $\mu(\emptyset) = 0$ ,  $\mu(\{x_\emptyset\}) = 1$ . Given a countably infinite group  $G$ , let  $x_\emptyset g = x_\emptyset$  for all  $g \in G$ , so that  $G$  is an  $(X, S, \mu)$ -group. In this case,  $H_\mu^G$  reduces to the separable Hilbert space  $\ell^2(G)$ , which is given by

$$\ell^2(G) = \{ f: G \rightarrow \mathbb{C} \text{ such that } \sum_{g \in G} |f(g)|^2 < \infty \}$$

with the inner product

$$\langle f_1, f_2 \rangle = \sum_{g \in G} f_1(g) \overline{f_2(g)}.$$

Besides, in this case the unitary operators  $U_{g_\emptyset}, \bar{V}_{g_\emptyset}, \bar{W}$  of Definition 6.3 assume the simple forms  $\hat{U}_{g_\emptyset}, \hat{V}_{g_\emptyset}, \hat{W}$ , respectively, where

$$\begin{aligned} (\hat{U}_{g_\emptyset} f)(g) &= f(gg_\emptyset) \\ (\hat{V}_{g_\emptyset} f)(g) &= f(g_\emptyset^{-1}g) \end{aligned}$$

and  $(\widehat{W}f)(g) = f(g^{-1})$

for  $g_0, g \in G$  and  $f \in \ell^2(G)$ .

Then by Theorem 6.1(i),  $\widehat{U}_{g_0}$ ,  $\widehat{V}_{g_0}$  and  $\widehat{W}$  are unitary operators on  $\ell^2(G)$ . Since the bounded  $S$ -measurable functions on  $X$  reduce to constant functions the von Neumann algebras  $R(\Omega)$  and  $R(\widehat{\Omega})$  of Theorem 6.1(ii) reduce to those generated by  $\{\widehat{U}_g : g \in G\}$  and  $\{\widehat{V}_g : g \in G\}$ , respectively. Let us denote them by  $\mathcal{A}(G)$  and  $\mathcal{B}(G)$ , respectively. Then  $\mathcal{A}(G)$  and  $\mathcal{B}(G)$  are spatially isomorphic to each other by  $\widehat{W}$  and one is the commutant of the other. Since these algebras play an important role in the construction of type  $II_1$ - and type III- factors of later sections, we give the following

**NOTATION 9.1.**  $\mathcal{A}(G)$  and  $\mathcal{B}(G)$  denote the von Neumann algebras generated by  $\{\widehat{U}_g : g \in G\}$  and  $\{\widehat{V}_g : g \in G\}$ , respectively.

**THEOREM 9.1.**  $(\mathcal{A}(G))' = \mathcal{B}(G)$  and  $(\mathcal{B}(G))' = \mathcal{A}(G)$ . Besides,  $\mathcal{A}(G)$  and  $\mathcal{B}(G)$  are spatially isomorphic and the spatial isomorphism is implemented by  $\widehat{W}$ .

Since  $X = \{x_0\}$  with  $\mu(\{x_0\}) = 1$  and  $x_0g = x_0$  for all  $g \in G$ , evidently  $G$  is neither free nor ergodic. Thus one is led to find some other conditions, now on the group  $G$ , to ensure that  $\mathcal{A}(G)$  and  $\mathcal{B}(G)$  are factors. To this end, Murray and von Neumann introduced the following concept in [21].

**DEFINITION 9.1.** A group  $G$  is called an infinite conjugacy class group (in abbreviation, an ICC-group) is for each  $g \neq e$  the conjugacy class  $C_g = \{h^{-1}gh : h \in G\}$  is infinite.

Obviously, an ICC-group is a non-commutative infinite group.

Now we can state the following interesting

**THEOREM 9.2.** For a countable group  $G$ ,  $\mathcal{A}(G)$  and  $\mathcal{B}(G)$  are factors on the separable Hilbert space  $\ell^2(G)$  if and only if  $G$  is an ICC-group. In this case,  $\mathcal{A}(G)$  and  $\mathcal{B}(G)$  are type  $II_1$ -factors. If  $G$  satisfies besides the condition (\*) of Theorem 8.2, then these are hyperfinite type  $II_1$ -factors.

As an application of the last part of the above theorem, we give below an example of a hyperfinite type  $II_1$ -factor as a  $\mathcal{U}(G)$ .

**EXAMPLE 9.1.** Suppose  $G$  is the subgroup of the permutation group of  $\mathbb{N}$  formed by all those permutations which leave all but a finite number of elements fixed. Then  $G$  is an ICC-group and  $G = \bigcup_{n=1}^{\infty} G_n$  with  $G_n \uparrow$ , where  $G_n$  is the subgroup of all those permutations which leave all but  $\{1, 2, \dots, n\}$  fixed. Consequently, by Theorem 9.2 the factors  $(G)$  and  $(G)$  are hyperfinite type  $II_1$ -factors.

**10.-Example of a non-hyperfinite type  $II_1$ -factor .** All the type  $II_1$ -factors constructed in the earlier sections turn to be hyperfinite and thus isomorphic to each other by Theorem 8.1. Then the following question arises naturally: Does there exist any non-hyperfinite type  $II_1$ -factor on  $H$ ? Murray and von Neumann answered this question affirmatively in [21] by introducing an isomorphism invariant called the property  $\mathcal{P}$  and then constructing a factor on  $H$  failing the property  $\mathcal{P}$ .

**DEFINITION 10.1.** We say that a type  $II_1$ -factor  $M$  on  $H$  has the property  $\mathcal{P}$  if for each  $\varepsilon > 0$  and for each finite set  $\{T_1, T_2, \dots, T_n\}$  of elements in  $M$  there exists a unitary  $U = U(T_1, T_2, \dots, T_n) \in M$  with  $\text{Tr}_M(U) = 0$  and

$$[[U^{-1}T_k U - T_k]] < \varepsilon \quad \text{for } k=1, 2, \dots, n$$

where  $[[A]] = (\text{Tr}_M(A^*A))^{1/2}$  and  $\text{Tr}_M$  is the relative trace of  $M$ .

Here the relative trace  $\text{Tr}_M$  is an extension of  $D_M$  to all hermitian elements in  $M$  with  $\text{Tr}_M(I) = 1$  and satisfying certain properties. (Vide [21]).

**THEOREM 10.1.** The property  $\mathcal{P}$  is an isomorphism invariant. If  $M$  is a hyperfinite type  $II_1$ -factor on  $H$ , then  $M$  satisfies the property  $\mathcal{P}$ . Thus all hyperfinite type  $II_1$ -factors on separable Hilbert spaces satisfy the property  $\mathcal{P}$ .

In [21] Murray and von Neumann introduced a sufficient condition on the ICC-group  $G$  to ensure that  $(G)$  be not hyperfinite. Let us state this result.

**THEOREM 10.2.** Let  $G$  be a countable ICC-group and suppose there exists a set  $F \subset G$  with the following properties:

(i) There exists a  $g_1 \in G$  such that

$$F \cup g_1 F g_1^{-1} = G \setminus \{e\}$$

and

(ii) There exists a  $g_2 \in G$  such that the sets  $F$ ,  $g_2 F g_2^{-1}$  and  $g_2^{-1} F g_2$  are disjoint.

Then the factors  $\mathcal{K}(G)$  and  $\mathcal{L}(G)$  do not possess the property  $\square$ .

As an application of Theorems 10.1 and 10.2 we give below the construction of a non-hyperfinite type  $II_1$ -factor.

**EXAMPLE 10.1 (A non-hyperfinite type  $II_1$ -factor).** Let  $G$  be the free group generated by two elements  $a$  and  $b$ . Clearly,  $G$  is a countable ICC-group. Let  $F$  be the set of those  $g \in G$  which when written as a power product of  $a$  and  $b$  of minimum length end with  $a^n$ ,  $n = \pm 1, \pm 2, \dots$ . It is an easy exercise to verify the properties (i) and (ii) of Theorem 10.2 for the set  $F$ . Consequently, by Theorem 10.2 the type  $II_1$ -factors  $\mathcal{K}(G)$  and  $\mathcal{L}(G)$  do not satisfy the property  $\square$  and hence are non-hyperfinite by Theorem 10.1.

The above example, and Theorems 8.1 and 10.1 imply the following

**THEOREM 10.3.** There exist at least two non-isomorphic type  $II_1$ -factors on a separable Hilbert space  $H$ , one being hyperfinite and the other non-hyperfinite.

Though Murray and von Neumann could provide more examples of non-hyperfinite type  $II_1$ -factors in [21], they could establish the existence of just two non-isomorphic type  $II_1$ -factors in terms of the property  $\square$ . However, their method and ideas were exploited later by Dixmier and Lance [10] and Dusa McDuff [17,18], the latter being successful in constructing even an uncountable family of non-isomorphic type  $II_1$ -factors on a separable Hilbert space. Vide Section 12.

**11.-Pukansky's examples of two non-isomorphic type III-factors.** Though von Neumann constructed some type III-factors on a separable Hilbert space  $H$  in [25], he didn't study any isomorphism invariant to obtain some non-isomorphic type III-factors. The first contribution in this direction was due to Pukánsky [28], who introduced an isomorphism invariant called the property (L) and constructed two non-isomorphic

type III-factors, one satisfying (L) and the other failing (L). Later, these examples played a fundamental role in the construction of an uncountable family of non-isomorphic type III-factors given by Powers [27], Sakai [30] and Connes [6]. Vide Sections 13,14 and 16.

Following Pukánsky [28] and [32] we present the construction of these factors of Pukánsky. For details, the reader may refer to [32].

**DEFINITION 11.1.** A von Neumann algebra  $R$  on  $H$  is said to satisfy the property (L) if there exists a sequence of unitary elements  $(U_k)_1^\infty$  in  $R$  such that  $U_k \rightarrow 0$  in  $\tau_w$  and  $\|U_k A U_k^* - A\| \rightarrow 0$  as  $k \rightarrow \infty$ .

**EXAMPLE 11.1 (Type III-factor  $M_{(p_n)_1^\infty}$ ).** Let  $\Omega_n = \{0,1\}$ ,  $n \in \mathbb{N}$  and let  $X = \prod_1^\infty \Omega_n$ . Let  $\mu_n$  be the measure on  $\mathcal{P}(\Omega_n)$  defined by  $\mu_n(\{0\}) = \frac{1-p_n}{2}$  and  $\mu_n(\{1\}) = \frac{1+p_n}{2}$ , where  $0 < \delta < p_n < 1 - \delta$  for some fixed  $\delta > 0$ . Let  $\mu = \prod_1^\infty \mu_n$  be the product measure on the corresponding  $\sigma$ -algebra in  $X$ . Let  $G = \{w = (w_n)_1^\infty : w_n \neq 0 \text{ occurs for a finite number of } n\text{'s only}\}$ . Then, with respect to addition given coordinatewise mod 2,  $G$  is a countable group. For  $g \in G$  and  $w \in X$ , let  $wg = w+g$ , where  $(w+g)_i = w_i + g_i \pmod{2}$ . Then it can be shown that  $G$  is a free, ergodic, non-measurable  $(X, S, \mu)$ -group. Consequently, by Theorem 7.2,  $\mathbf{M}(X, G, \mu)$  and  $\mathbf{M}'(X, G, \mu)$  are type III-factors on the separable space  $H_\mu^G$ . Besides, these factors satisfy the property (L) if  $\frac{1-p_n}{2} = p$  and  $\frac{1+p_n}{2} = q$  for all  $n$ . (Vide [28]). For later use, let us denote  $\mathbf{M}(X, G, \mu)$  corresponding to  $(p_n)_1^\infty$  by  $M_{(p_n)_1^\infty}$ .

**EXAMPLE 11.2 (Type III-factor  $\mathbf{IP}$ ).** Let  $G$  be the free group generated by two elements. Then  $G$  is countably infinite. For each  $g \in G$ , let  $X_g = \{0,1\}$ . Let  $\mu_g$  be the measure defined by  $\mu_g(\{0\}) = p$  and  $\mu_g(\{1\}) = q$  with  $0 < p < q$  and  $p+q = 1$ . Let  $X = \prod_{g \in G} X_g$  and let  $(X, S, \mu)$  be the associated product measure space. Let

$$G_o = \{x = (x_g)_{g \in G} : x_g \neq 0 \text{ for a finite number of } g\text{'s only}\}.$$

Let  $G = \{(x, g) : x \in G_o, g \in G\}$ . For each elements  $\alpha = (x^o, g_o) \in G$ , let us define the transformation

$T_\alpha: X \rightarrow X$  given by

$$T_\alpha x = x\alpha = (x_{g_0 g} + x_g^0)_{g \in G}$$

where  $x_{g_0 g} + x_g^0 = x_{g_0 g} + x_g^0 \pmod{2}$ . Then these mappings  $T_\alpha$  are bijective on  $X$ . For the law of composition  $\alpha\beta = (x, g_0)(y, h_0) = (x^{h_0} + y, g_0 h_0) = r$ , where  $x^{h_0} = (x_{h_0 g})_{g \in G}$ .  $G$  is a semigroup. Since  $G$  has the identity element  $(\sigma, e)$  and the inverse of  $(x, g)$  in  $G$  is given by  $(x^{g^{-1}}, g^{-1})$ , we observe that  $G$  is a group. Also it can be shown that  $G$  is a free, ergodic and non-measurable  $(X, S, \mu)$ -group. Consequently, the corresponding  $\mathbf{M}(X, S, \mu)$  of Theorem 7.2 is a type III-factor on the separable space  $H_\mu^G$ . Pukánski [28] showed that this factor fails the property (L). For later use, we shall denote this factor by  $\mathbf{P}$ . (Note that in the study of Pukánski [28] or that of Saks [32], the factor  $\mathbf{P}$  is not distinguished for different pairs  $(p_1, q_1)$  and  $(p_2, q_2)$ ).

Since the property (L) is an isomorphism invariant, the above examples imply the following

**THEOREM 11.1.** There exist at least two non-isomorphic type III-factors on a separable Hilbert space  $H$ , with one satisfying the property (L) and the other failing it.

12.- A continuum of non-isomorphic type  $II_1$ -factors. After the publication of "On Rings of Operators IV" in 1943, for many years were known only two non-isomorphic type  $II_1$ -factors. In 1963 J. Schwartz introduced an isomorphism invariant called the property (P) and using (P) distinguished two non-isomorphic non-hyperfinite type  $II_1$ -factors. After the publication of [34], many mathematicians got interested in the construction of new non-isomorphic type  $II_1$ -factors. Using the notions of central and hyper-central sequences in a type  $II_1$ -factor, Dixmier and Lance constructed two new examples of non-isomorphic type  $II_1$ -factors in [10]. Also were given new type  $II_1$ -factors by Wai-mee-Ching [4], Sakai [29] and Zeller-Meir [39]. Thus were known nine non-isomorphic type  $II_1$ -factors before the publications of [17]

and [18] by Dusa McDuff.

In this section we briefly sketch some of the ideas used by Dusa McDuff [17, 18] and describe the construction of a continuum of non-isomorphic type  $II_1$ -factors following Sakai [32]. For details of the proof, the reader is recommended to refer to Sakai [32, pp.183-192].

Motivated by the hypothesis in Lemma 6.2.1 of [21] (vide Theorem 10.2 above), Dixmier and Lance introduced in [10] the notion of a residual subgroup  $H$  of  $G$ , according to which the hypothesis in the said lemma of [21] implies that  $\{e\}$  is a residual subgroup of the ICC-group  $G$ . Since it is not known whether the finite product of residual subgroups is residual, Dusa McDuff defined in [17] a much stronger notion of strongly residual subgroups for which the said property holds and considered strongly residual sequences of subgroups in  $G$ . Using these notions, and proving many technically deep lemmas, she constructed an uncountable family of type  $II_1$ -factors in [18].

Let  $G_1, G_2, \dots; H_1, H_2, \dots$  be two sequences of groups. We denote by  $(G_1, G_2, \dots; H_1, H_2, \dots)$  the group generated by the  $G_i$ 's and the  $H_i$ 's with additional relations that  $H_i, H_j$  commute elementwise for  $i \neq j$  and  $G_i, H_j$  commute elementwise for  $i \leq j$ . Let  $L_1 = (\mathbb{Z}, \mathbb{Z}, \dots; \mathbb{Z}, \mathbb{Z}, \dots)$ . Let  $L_k$  be defined inductively by  $L_k = (\mathbb{Z}, \mathbb{Z}, \dots; L_{k-1}, L_{k-1}, \dots)$  for  $k > 1$ .

Let  $\pi$  be a sequence of positive integers. Let  $M_n(\pi) = \sum_{i=1}^n L_{p_i}$  if  $\pi = (p_1, p_2, \dots)$ ; and  $M_n(\pi) = \sum_{i=1}^n L_{p_i}$  for  $n \leq n_0$  and  $M_n(\pi) = \sum_{i=1}^n L_{p_i}$  for  $n > n_0$ , if  $\pi = (p_1, \dots, p_{n_0})$ . Let  $G(\pi) = (\mathbb{Z}, \mathbb{Z}, \dots; M_1(\pi), M_2(\pi), \dots)$ . Then one has the following

**THEOREM 12.1.** If  $\pi_1 = (p_i)$  and  $\pi_2 = (q_i)$  are two sequences of positive integers such that  $\pi_1 \neq \pi_2$  as sets, then  $G(\pi_1)$  and  $G(\pi_2)$  (vide Notation 9.1) are non-isomorphic type  $II_1$ -factors. None of these factors is hyperfinite.

**13. Sakai's construction of uncountably many non-hyperfinite type III and type II-**

factors. In the set up of  $W^*$ -algebras, Sakai [30,32] extended the notion of central sequences and using the type III-factor  $\mathbb{P}$  of Section 11 and the ICC-groups  $G(\pi)$  of Section 12 above constructed a continuum of non-isomorphic type III-factors and deduced the existence of a continuum of non-isomorphic type II-factors. Let us briefly sketch the construction of Sakai [32].

A  $B^*$ -algebra  $W$  is called a  $W^*$ -algebra if there exists a Banach space  $W_*$  such that  $W$  is the Banach space dual of  $W_*$ . Let  $W$  denote a  $W^*$ -algebra in the sequel. The weak\*-topology  $\sigma(W, W_*)$  is called the  $\sigma$ -topology of  $W$ . A \*-homomorphism  $\Phi: W_1 \rightarrow W_2$  between two  $W^*$ -algebras  $W_1$  and  $W_2$  is called a  $W^*$ -homomorphism if it is continuous for the  $\sigma$ -topologies of  $W_1$  and  $W_2$ .

Given a  $W^*$ -algebra  $W$ , there exists a faithful  $W^*$ -representation  $\Phi$  of  $W$  into  $L(K)$  of some Hilbert space  $K$  ( $K$  can be finite dimensional or of arbitrary dimension) such that  $\Phi(W)$  is a \*-subalgebra closed in the weak operator topology of  $L(K)$  (vide Section 1.16 of Sakai [32]). Then we say that  $W$  has a faithful  $W^*$ -representation  $(\Phi, K)$ . Besides, when  $W$  contains the identity, then  $\Phi(W)$  is a von Neumann algebra on  $K$ .

Let  $\mathbf{T} = \{\psi: \psi \text{ a } \sigma\text{-continuous positive linear form on } W\}$ . For each  $\psi \in \mathbf{T}$ , let  $\alpha_\psi(x) = (\psi(x^*x))^{\frac{1}{2}}$  for  $x \in W$ . The locally convex topology defined on  $W$  by the family  $\{\alpha_\psi: \psi \in \mathbf{T}\}$  of semi-norms is called the  $s$ -topology of  $W$ . If  $(X_n)_{n=1}^\infty$  is a uniformly bounded sequence in  $W$ , we say that  $(X_n)$  is a *central sequence* if  $X_n X - X X_n \rightarrow 0$  in  $s$ -topology for all  $X \in W$ .

From the theory of tensor products of von Neumann algebras (vide [32]) we have that  $\mathbb{P} \times \mathbf{M}$  is a factor for any factor  $\mathbf{M}$  and is of type III, where  $\mathbb{P}$  is as in Example 11.2.

Considering  $A_i = \mathbb{P} \times (G(\pi_i))$ ,  $i=1,2$  as  $W^*$ -algebras with identity and assuming them to be isomorphic for two different sequences of positive integers  $\pi_1$  and  $\pi_2$  (where  $(G(\pi_i))$  are as in Section 12), Sakai [32] arrives at a contradiction after

proving many intermediate lemmas, in which the above generalized notion of central sequences plays a key role.

**THEOREM 13.1** (Sakai [32]). Let  $\pi_1$  and  $\pi_2$  be two sequences of positive integers which are different as sets. Let  $G(\pi_1)$  and  $G(\pi_2)$  be the ICC-groups constructed in Section 12 above. Then  $\mathbb{P} \times_{\pi_1} (G(\pi_1))$  and  $\mathbb{P} \times_{\pi_2} (G(\pi_2))$  are non-isomorphic type III-factors. Besides, these factors are non-hyperfinite (vide Definition 8.1). Thus there exists a continuum of non-isomorphic non-hyperfinite type III-factors on a separable Hilbert space.

**NOTE 13.1.** In the next section, following Powers [27] we also give the construction of a continuum of non-isomorphic hyperfinite type III-factors.

Since  $\mathbb{P}$  is of type III,  $\mathbb{P}$  is isomorphic to  $\mathbb{P} \times L(H)$  for a separable space  $H$ . Consequently, we deduce from Theorem 13.1 the following

**THEOREM 13.2** (Sakai [32]). If  $H$  is separable and if  $\pi_1, \pi_2, G(\pi_1)$  and  $G(\pi_2)$  are as in Theorem 13.1, then  $L(H) \times_{\pi_1} (G(\pi_1))$  and  $L(H) \times_{\pi_2} (G(\pi_2))$  are non-isomorphic type  $II_{\infty}$ -factors. Consequently, there exists a continuum of non-isomorphic type II-factors on a separable Hilbert space.

For the details of this section the reader may refer to Sakai [32, pp.193-202].

**14.-Powers' construction of a continuum of non-isomorphic hyperfinite type III-factors.** The construction of Powers [27] is based on the infinite product of a sequence of type  $I_2$ -factors, each one being considered as a  $C^*$ -algebra with identity. The reader may refer to [12] for details of the construction of infinite tensor products of  $C^*$ -algebras.

Suppose that  $B_n = B$  is a type  $I_2$ -factor on a separable Hilbert space  $H$  for each  $n \in \mathbb{N}$ . Let  $(p_n)$  be a sequence of positive numbers  $0 < p_n < \frac{1}{2}$ . For  $\begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix}$  ( $\alpha, \beta, \gamma, \delta$  complex numbers), let

$$\psi_{p_n} \begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix} = \alpha p_n + \delta(1-p_n).$$

Then  $\psi_{p_n}$  is a state (= positive linear form with  $\|\psi_{p_n}\| = 1$ ) on  $\mathcal{B}_n$ . Then let  $\Psi_{(p_n)} = \prod_{n=1}^{\infty} \psi_{p_n}$  be the infinite product state of  $(\psi_{p_n})_1^{\infty}$  on  $\prod_{n=1}^{\infty} \mathcal{B}_n$  (vide Section 1.23, Chapter 1 of Sakai [32]).

It is known that the state  $\Psi_{(p_n)}$  induces a  $*$ -representation of  $A = \prod_{n=1}^{\infty} \mathcal{B}_n$  on a Hilbert space  $H_{\Psi_{(p_n)}}$  (vide p.40 of [32]). The von Neumann algebra  $\mathcal{R} = (\pi_{\Psi_{(p_n)}}(A))''$  is called the  $W^*$ -infinite tensor product of  $(\mathcal{B}_n)_1^{\infty}$  by the infinite product state  $\Psi_{(p_n)}$ . In this particular case,  $\mathcal{R}$  is a factor.

If there exists a positive number  $\delta$  with  $\delta < p_n < \frac{1}{2} - \delta$  for each  $n$ , then it can be shown that  $(\pi_{\Psi_{(p_n)}}(A))'' = \mathcal{R}$  is a type III-factor and that  $\mathcal{R}$  is spatially isomorphic to the factor  $M_{(p_n)}$  of Example 11.1. (Vide p.206 of [32]).

When we take  $p_n = \lambda$  for all  $n$  with  $0 < \lambda < \frac{1}{2}$ , the associated type III-factor  $M_{(p_n)}$  is denoted by  $M_{\lambda}$  and is called the Powers factor of  $\lambda$ .

Introducing an isomorphism invariant called the property  $L_{\lambda}$ , Powers [27] obtained the following

**THEOREM 14.1.** For  $\lambda_1, \lambda_2 \in [0, \frac{1}{2})$  with  $\lambda_1 \neq \lambda_2$ , their Powers factors  $M_{\lambda_1}$  and  $M_{\lambda_2}$  are non-isomorphic hyperfinite type III-factors. Consequently, there exists an uncountable family of non-isomorphic hyperfinite type III-factors on a separable Hilbert space.

The reader may note the difference between Theorems 13.1 and 14.1.

**15.-ITPFI-factors.** In [24] von Neumann observed that certain type III-factors could be obtained as an infinite tensor product of finite type I-factors. But no proof of his statement was given in any of his publications. Only in 1963, Bures [3] gave the proof of the above assertion along with a partial type classification of these infinite products. Such infinite tensor products of finite type I-factors are themselves factors and are called ITPFI-factors.

In the earlier section we saw that the Powers factors  $M_\lambda$  are ITPFI-factors of special type, with the constituent factors being of type  $I_2$ . Analysing the work of Powers [27], Araki and Woods studied in [2] the complete type classification of general ITPFI-factors by introducing the isomorphism invariants  $r_\infty$  and  $\rho$ . Without going into details of a rigorous definition of an ITPFI-factor  $\mathbf{M}$ , let us simply mention some of the principal results of Araki and Woods [2], reformulated in a form comparable with the later results of Connes (vide the next section).

Let us denote the Powers factor  $M_\lambda$  by  $R_x$ , where  $\lambda = \frac{x}{1-x}$  so that  $x \in (0,1)$  as  $\lambda$  varies in  $(0, \frac{1}{2})$ . We define  $R_0$  as the type  $I_\infty$ -factor and  $R_1$  as the hyperfinite type  $II_1$ -factor on a separable Hilbert space  $H$ . (Note that these are unique upto isomorphism). The asymptotic ratio set  $r_\infty(\mathbf{M})$  for an ITPFI-factor  $\mathbf{M}$  defined in terms of the eigen values sets corresponding to the tracial states of the constituent factors is shown in [2] to be the same as the set  $\{0 \leq x < \infty : \mathbf{M} \sim \mathbf{M} \times R_{f(x)}\}$ , where ' $\sim$ ' denotes 'isomorphic' and  $f(x) = x$  for  $0 \leq x \leq 1$  and  $f(x) = x^{-1}$  for  $1 < x < \infty$ . This result suggested the definition of  $r_\infty(\mathbf{M}) = \{0 \leq x < \infty : \mathbf{M} \sim \mathbf{M} \times R_{f(x)}\}$  for an arbitrary factor  $\mathbf{M}$ .

For two factors  $R_1$  and  $R_2$ , it is known that  $R_1 \times R_2$  is also a factor, which is of type III (respy., of type II) if  $R_1$  or  $R_2$  is of type III (respy., if one of them is of type II and the other is semi-finite).

Araki and Woods [2] proved that  $r_\infty(\mathbf{M})$  is an isomorphism invariant and Araki [1] showed that  $r_\infty(\mathbf{M})$  must be one of the sets  $\{0\}, \{1\}, S_0 = \{0,1\}, S_x = \{0,1,x^n : n \in \mathbb{Z}\}, 0 < x < 1$  and  $S_1 = [0, \infty)$ . (Here the original notation is changed in terms of the invariant  $S$  of [6]).

**THEOREM 15.1** ([2]). Except for the case  $S_0, r_\infty(\mathbf{M}) = r_\infty(\mathbf{N})$  for two ITPFI-factors  $\mathbf{M}$  and  $\mathbf{N}$  implies that  $\mathbf{M}$  and  $\mathbf{N}$  are isomorphic.

The other isomorphism invariant  $\rho(\mathbf{M})$  for an arbitrary factor  $\mathbf{M}$  is given in [2] as below:

$$\rho(\mathbf{M}) = \{0 \leq x < \infty : R_{f(x)} \sim R_{f(x)} \times \mathbf{M}\} .$$

Using the invariant  $\rho$ , is obtained in [2] the following interesting THEOREM 15.2([2]). There exists a continuum of non-isomorphic ITPFI-factors in the class  $S_0$ .

It is interesting to observe that all the Powers factors  $M_\lambda$  ( $0 < \lambda < \frac{1}{2}$ ) belong to the class  $S_0$ , which are already known to be non-isomorphic ITPFI-factors. (Vide Theorem 14.1).

Thus for the first time, after the publication of [21], one had identified factors given by different constructions. The classification by  $r_\infty$  and  $\rho$  was generalized later by Krieger [14,15,16] to factors constructed from ergodic transformations. For more information on ITPFI-factors the reader may refer to Woods [38].

16. Results of Connes [6] and Takesaki [36,37]. Using Tomita-Takesaki's theory of modular Hilbert algebras and the non-commutative integration theory, Connes [6] gave an isomorphism invariant  $T(\mathbf{M})$  for an arbitrary von Neumann algebra  $\mathbf{M}$  and deduced from the following result Theorem 14.1 above and the non-isomorphism of the non-hyperfinite family  $\{G\} \times M_\lambda, 0 < \lambda < \frac{1}{2}$ , with  $G$  as in Example 10.1.

THEOREM 16.1([6]). If  $\mathbf{M}$  is an ITPFI-factor, then  $T_0 \in T(\mathbf{M})$  if and only if  $\exp(\frac{-2\pi}{T_0}) \in \rho(\mathbf{M})$ , where  $\rho(\mathbf{M})$  is the invariant given by Araki and Woods in [2]. (Vide Section 15.)

Another interesting result about  $T(\mathbf{M})$  given in [6] is the following.

THEOREM 16.2([6]). Every subgroup  $G$  of  $\mathbb{R}$  is the set  $T(\mathbf{M})$  of a countably decomposable factor  $\mathbf{M}$ . When  $G$  is countably infinite,  $\mathbf{M}$  is a factor on a separable Hilbert space. Besides, there exists a countably decomposable type III-factor  $\mathbf{M}$  such that  $T(\mathbf{M}) = \mathbb{R}$ .

In [6] Connes gave another isomorphism invariant  $S(\mathbf{M})$  for a factor  $\mathbf{M}$  and showed that  $\mathbf{M}$  is semi-finite if and only if  $S(\mathbf{M}) = \{1\}$ . He also proved that the invariant  $T(\mathbf{M})$  doesn't determine  $S(\mathbf{M})$ , in the sense that two factors  $\mathbf{M}_1$  and  $\mathbf{M}_2$  with

$T(M_1) \neq T(M_2)$  can have  $S(M_1) = S(M_2)$ .

**THEOREM 16.3**([6]). For an ITPFI-factor  $M$  of type III,  $S(M) = r_\infty(M)$ , where  $r_\infty(M)$  is the asymptotic ratio set of  $M$  (vide Section 15).

Connes [6] also gave an examples of a non-ITPFI-factor  $M$  for which  $S(M) \neq r_\infty(M)$ . Also is given in [6] a non-hyperfinite ITPFI-factor, contrary to the factors  $M_\lambda$  of Powers.

The most important results of Connes [6] are those which characterize type III-factors. In this direction, he introduced the following

**DEFINITION 16.1.** Let  $M$  be a factor and  $\lambda \in [0,1]$ . We say that  $M$  is of type  $III_\lambda$  if

$$S(M) = \{0,1\} \text{ for } \lambda = 0; \quad S(M) = \{0,1, \lambda^n : n \in \mathbb{Z}\} \text{ for } 0 < \lambda < 1;$$

and  $S(M) = [0,\infty)$  for  $\lambda = 1$ .

Since  $0 \in S(M)$  for  $\lambda \in [0,1]$ , it follows that every type  $III_\lambda$ -factor is necessarily of type III. Connes [6] proved the following result in the reverse direction.

**THEOREM 16.4**([6]). For every countably decomposable factor  $M$  of type III there corresponds a unique  $\lambda \in [0,1]$  such that  $M$  is of type  $III_\lambda$  so that every type III-factor  $M$  on a separable Hilbert space is of type  $III_\lambda$  for some unique  $\lambda \in [0,1]$ .

He also gave the following theorem of characterization of type  $III_\lambda$ -factors for  $\lambda \in [0,1)$ .

**THEOREM 16.5**([6]).

- (i) All factors  $M$  of type  $III_\lambda$  for  $\lambda \in (0,1)$  can be realized as the crossed product of a type  $II_\infty$ -factor by a suitable automorphism  $\theta$  of .
- (ii) A factor  $M$  of type  $III_0$  is the crossed product of a von Neumann algebra of type  $II_\infty$  with nonatomic centre by a trace diminishing automorphism  $\theta$  of which is ergodic on the centre of .

It is known from [13] that a result similar to (i) and (ii) above doesn't hold for type  $III_1$ -factors.

The work of Connes [6] has many interesting other results, which we omit here for lack of space. Besides, Theorem 16.5 is a remarkable achievement in the classification theory of type III-factors and the work of Connes [6] is so important and original that it fetched him the Fields medal of that decade.

Finally, we include the structure theorem of arbitrary type III-von Neumann algebras obtained by Takesaki [36] independent of Connes [6].

**THEOREM 16.6**([36]). A von Neumann algebra  $\mathcal{R}$  of type III is uniquely expressible as the crossed product of a von Neumann algebra  $\mathcal{R}_0$  of type  $II_\infty$  by a one-parameter automorphism group which leaves a trace of  $\mathcal{R}_0$  relatively invariant, but not invariant.

For details of this section refer to [6], [36] and [37].

Finally we observe that so far no structure theory of type  $II_1$  factors is known, even though distinct uncountable families of non-isomorphic type  $II_1$ -factors have been constructed by different authors. Vide [5,18,31].

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# GENERALIZATION OF A THEOREM OF ALEXANDROFF

BY

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The classical theorem of Alexandroff [1,p.590] states that a bounded regular complex valued additive set function  $\mu$  defined on an algebra  $A$  of subsets of a compact topological space is  $\sigma$ -additive. Also it is known that such a set function  $\mu$  admits a unique regular  $\sigma$ -additive extension to the  $\sigma$ -algebra generated by  $A$ . See Theorems III.5.13 and III.5.14 of Dunford and Schwartz [7]. The first result was generalized by Dinculeanu and Klivanek [2,Theorem 3] for a regular locally convex space-valued additive set function  $\mu$  defined on a ring of subsets of a locally compact space. In the present note we give an abstract set theoretic generalization of the above classical theorems for an additive  $G$ -valued set function  $\mu$ , when  $G$  is a Hausdorff abelian topological group and  $\mu$  satisfies, among other things, certain regularity property defined in terms of two fixed classes of sets  $\mathcal{G}$  and  $\mathcal{L}$ . From this abstract study, we also deduce new results for  $G$ -valued additive set functions defined on rings of sets in arbitrary topological spaces.

1.-  $(\mathcal{G}, \mathcal{L})$ -Alexandroff regularity and  $\sigma$ -additivity. In the sequel,  $G$  denotes an abelian Hausdorff topological group, with

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its operation denoted by  $+$ .  $\mathcal{B}$  is a base of closed symmetric neighbourhoods of 0 in  $G$  and  $\mathcal{R}$  is a ring of subsets of a set  $\Omega (\neq \emptyset)$ , unless otherwise stated.  $\mu$  is a  $G$ -valued additive set function on  $\mathcal{R}$ .  $\mathcal{Y}$  and  $\mathcal{L}$  are two fixed non-void families of subsets of  $\Omega$ .

**NOTATION 1.1.** If  $A \subset \Omega$  and  $W$  is a neighbourhood of 0 in  $G$ , we write  $A \in R_W(\mu)$  to mean that  $\mu(E) \in W$  for all  $E \in \mathcal{R}$  with  $E \subset A$ .

**DEFINITION 1.2.** The  $G$ -valued additive set function  $\mu$  is said to be  $\mathcal{Y}$ -Alexandroff (resp.  $\mathcal{L}$ -Alexandroff) regular on  $\mathcal{R}$  if given  $E \in \mathcal{R}$  and a neighbourhood  $W$  of 0 in  $G$ , there exists  $U \in \mathcal{Y}$  and  $A \in \mathcal{R}$  (resp.  $K \in \mathcal{L}$  and  $B \in \mathcal{R}$ ) such that  $E \subset U \subset A$  with  $A \setminus E \in R_W(\mu)$  (resp.  $B \subset K \subset E$  with  $E \setminus B \in R_W(\mu)$ ). We say that  $\mu$  is  $(\mathcal{Y}, \mathcal{L})$ -Alexandroff regular on  $\mathcal{R}$  if it is both  $\mathcal{Y}$ -Alexandroff and  $\mathcal{L}$ -Alexandroff regular.

We note that  $\emptyset \in \mathcal{L}$  if  $\mu$  is  $\mathcal{L}$ -Alexandroff regular on  $\mathcal{R}$  and that  $\Omega \in \mathcal{Y}$  if  $\mathcal{R}$  is an algebra in  $\Omega$  and  $\mu$  is  $\mathcal{Y}$ -Alexandroff regular on  $\mathcal{R}$ . At this stage we don't impose any condition on  $\mathcal{L}$  or on  $\mathcal{Y}$ .

By the additivity of  $\mu$  the following result holds.

**PROPOSITION 1.3.** The  $G$ -valued additive set function  $\mu$  on  $\mathcal{R}$  is  $(\mathcal{Y}, \mathcal{L})$ -Alexandroff regular on  $\mathcal{R}$  if and only if for each  $E \in \mathcal{R}$  and  $W \in \mathcal{B}$  there exists  $K \in \mathcal{L}, U \in \mathcal{Y}, A, B \in \mathcal{R}$  such that  $B \subset K \subset E \subset U \subset A$  with  $A \setminus B \in R_W(\mu)$ .

**DEFINITION 1.4.** We say that  $\mathcal{L}$  has the  $\mathcal{G}$ -c.c.p. (i.e.  $\mathcal{L}$  has the countable compactness property relative to  $\mathcal{G}$ ) if for each  $K \in \mathcal{L}$ , every countable covering of  $K$  by members of  $\mathcal{G}$  has a finite subcovering.

**THEOREM 1.5.** Let  $\mu$  be a  $G$ -valued  $(\mathcal{G}, \mathcal{L})$ -Alexandroff regular additive set function on  $R$ . If  $\mathcal{G}$  is closed for intersection and  $\mathcal{L}$  has the  $\mathcal{G}$ -c.c.p., then  $\mu$  is  $\sigma$ -additive.

**PROOF.** Let  $\{E_i\}_1^\infty$  be a disjoint sequence in  $R$  with  $E = \bigcup_1^\infty E_i \in R$ .

Let  $W \in \mathcal{B}$ . Then there exists a finite family  $(q_i)_1^k$  of continuous quasinorms on  $G$  and  $\varepsilon > 0$  such that  $W_\varepsilon = \bigcap_1^k B_{q_i}(0, \varepsilon) \subset W$ , where  $B_{q_i}(0, \varepsilon) = \{x \in G : q_i(x) < \varepsilon\}$ . By the hypothesis of regularity there

exists  $K \in \mathcal{L}$ ,  $U \in \mathcal{G}$ ,  $A, B \in R$  such that  $B \subset K \subset E \subset U \subset A$  with  $A \setminus B \in R_{W_{\varepsilon/4}}(\mu)$ . Besides, for each  $i$  there exists  $U_i \in \mathcal{G}$ ,  $A_i \in R$

such that  $E_i \subset U_i \subset A_i$  with  $A_i \setminus E_i \in R_{W_{\varepsilon/2^{i+3}}}(\mu)$ ,  $i = 1, 2, \dots$ . Since

$\mathcal{G}$  and  $R$  are closed for intersection, by replacing  $U_i$  by  $U \cap U_i$  and  $A_i$  by  $A \cap A_i$ , we shall assume further that  $E_i \subset U_i \subset A_i \subset A$ .

As  $\mathcal{L}$  has the  $\mathcal{G}$ -c.c.p. there exists  $m$  such that  $K \subset \bigcup_1^m U_i$ . Then

for  $n \geq m$ , we have  $B \subset \bigcup_1^n U_i$ . Now,  $\bigcup_1^n U_i \setminus B \in R$  with  $\bigcup_1^n U_i \setminus B \subset A \setminus B$  so that  $\bigcup_1^n U_i \setminus B \in R_{W_{\varepsilon/4}}(\mu)$ . If  $D = (\bigcup_1^n U_i) \setminus (\bigcup_1^n E_i)$ , let  $H_i = A_i \setminus E_i$  and  $F_i =$

$H_i \setminus \bigcup_{j < i} H_j$  with  $H_0 = \emptyset$ . Then

$$\begin{aligned} \mu(D) &= \mu\left(D \cap \bigcup_1^n (A_i \setminus E_i)\right) = \sum_1^n \mu(D \cap F_i) \\ &= \sum_1^n \left\{ \mu(D \cap H_i) - \mu\left(D \cap H_i \cap \left(\bigcup_{j < i} H_j\right)\right) \right\} \end{aligned}$$

$$\varepsilon \sum_{i=1}^n W_{\varepsilon/2^{i+2}} \subset W_{\varepsilon/4}.$$

Consequently,

$$\begin{aligned} \mu(E) - \sum_{i=1}^n \mu(E_i) &= \mu(E) - \mu(\cup_{i=1}^n E_i) \\ &= \mu(E) - \mu((\cup_{i=1}^n UA_i) \setminus D) \\ &= \mu(E) - \mu(B) - \mu(\cup_{i=1}^n UA_i \setminus B) + \mu(D) \\ \varepsilon \quad W_\varepsilon &\subset W. \end{aligned}$$

Since  $W$  is closed and  $G$  is Hausdorff,  $\mu(E) = \sum_{i=1}^{\infty} \mu(E_i)$ .

The following result is now immediate from the above theorem and from Theorem XI.3.6(2) of Dugundji [6].

**THEOREM 1.6.** Let  $\mu$  be a  $G$ -valued additive set function on a ring  $R$  of subsets of a topological space  $X$  and let  $\mathcal{G}$  be the family of all open subsets of  $X$ . If  $\mathcal{L}$  is the family of all countably compact (or compact) subsets of  $X$  or if  $\mathcal{L}$  is the family of all closed subsets of  $X$  when  $X$  is countably compact and if  $\mu$  is  $(\mathcal{G}, \mathcal{L})$ -Alexandroff regular on  $R$ , then  $\mu$  is  $\sigma$ -additive.

**REMARKS 1.7.** Theorem 1.6 gives an improved version of the theorem of Alexandroff (Theorem III.5.13 of [7]) for  $G = \mathbb{C}$ , since  $\mu$  is not required to be bounded and  $X$  is not assumed to be compact. The result of von Neumann [8, Theorem 10.1.20] on a ring of sets in a topological space is also a particular case of the above theorem. Finally, Theorem 3 of Dinculeanu and

Kluvanek [2] is also generalized to  $G$ -valued additive set functions in the above theorem.

2.-  $(\mathcal{G}, \mathcal{L})$ -Alexandroff regularity of the  $\sigma$ -additive extension. Suppose  $\mu$  is a  $\sigma$ -additive exhausting  $G$ -valued set function on a ring  $\mathcal{R}$  of subsets of  $\Omega$  with  $\mu(\mathcal{R})$  contained in a sequentially complete set in  $G$ . Then it is known from Drewnowski [5] that  $\mu$  admits a unique  $\sigma$ -additive extension  $\tilde{\mu}$  to  $S(\mathcal{R})$ , the  $\sigma$ -ring generated by  $\mathcal{R}$ . The object of this section is to give a sufficient condition to ensure that the  $(\mathcal{G}, \mathcal{L})$ -Alexandroff regularity of  $\mu$  imply that of  $\tilde{\mu}$  on  $S(\mathcal{R})$ .

To start with, we recall some definitions and results from Drewnowski [3,4,5], which play a key role in the sequel.

A topology  $\tau$  on  $R$  is called a ring topology, if the operations  $(A,B) \rightarrow A \Delta B$  and  $(A,B) \rightarrow A \cap B$  from  $(R, \tau) \times (R, \tau) \rightarrow (R, \tau)$  are continuous. A ring topology  $\tau$  on  $R$  is called an FN-topology if for each  $\tau$ -neighbourhood  $U$  of  $\emptyset$  there exists a  $\tau$ -neighbourhood  $V$  of  $\emptyset$  such that for each  $A \in V$ ,  $\{B: B \subset A, B \in R\} \subset U$

If  $\mu: R \rightarrow G$  is additive and  $B$  is a base of closed symmetric neighbourhoods of  $0$  in  $G$ , then  $\{R_W(\mu): W \in B\}$  is a base of neighbourhoods of  $\emptyset$  for an FN-topology  $\Gamma(\mu)$  on  $R$ , which is the coarsest FN-topology on  $R$  with respect to which  $\mu$  is continuous (See 1.9 of [3]).  $\Gamma(\mu)$  is called the FN-topology induced by  $\mu$  on  $R$ .

A set function  $\mu: R \rightarrow G$  is said to be exhausting if  $\mu(E_n) \rightarrow 0$ , whenever  $\{E_n\}_1^\infty$  is a disjoint sequence in  $R$ . An FN-topology  $\tau$  on  $R$  is said to be order continuous if  $\{E_n\}_1^\infty \subset R$ ,  $E_n \searrow \emptyset$  imply  $E_n \rightarrow \emptyset$  in the topology  $\tau$ .

The following result is immediate from Theorems 8.3, 8.4 and 8.5 of [5].

**THEOREM 2.1.** (Drewnowski). Suppose  $\lambda$  is a  $\sigma$ -additive exhausting  $G$ -valued set function on the ring  $R$ . Then there exists a unique order continuous FN-topology  $\Gamma(\lambda)^\sim$  on  $S(R)$  such that  $\Gamma(\lambda)^\sim|_R = \Gamma(\lambda)$ , where  $\Gamma(\lambda)$  is the FN-topology induced by  $\lambda$  on  $R$ . Besides,  $R$  is  $\Gamma(\lambda)^\sim$ -dense in  $S(R)$ .

Let  $\lambda$  be as in Theorem 2.1. Then, for  $E \in S(R)$ , there exists a net  $\{E_\alpha\}$  in  $R$  such that  $E_\alpha \rightarrow E$  in the topology  $\Gamma(\lambda)^\sim$ . If the range of  $\lambda$  is contained in a complete set  $H \subset G$ , then  $\tilde{\lambda}(E) = \lim_\alpha \lambda_\alpha(E_\alpha)$  exists and belongs to  $H$ . Besides, the set function  $\tilde{\lambda}$  on  $S(R)$  is well defined, extends  $\lambda$  and is  $\sigma$ -additive on  $S(R)$ . Further, such a  $\sigma$ -additive extension  $\tilde{\lambda}$  of  $\lambda$  to  $S(R)$  is unique. (See Theorem 9.2 of [5]). Finally, by Remarks 1 on p.411 of [5], it suffices to assume that  $H$  is sequentially complete for the above results to hold. Thus we can state the following

**THEOREM 2.2** (Drewnowski). Let  $\lambda: R \rightarrow G$  be  $\sigma$ -additive and exhausting with  $\lambda(R)$  contained in a sequentially complete set  $H$  in  $G$ . Then there exists a unique  $\sigma$ -additive extension  $\tilde{\lambda}$  of  $\lambda$  to  $S(R)$ , with  $\tilde{\lambda}(S(R)) \subset H$ . Besides, for  $E \in S(R)$ ,

$$\tilde{\lambda}(E) = \lim_{\alpha} \lambda(E_{\alpha})$$

whenever the net  $\{E_{\alpha}\} \subset R$  converges to  $E$  in the topology  $(\lambda)^{\sim}$ .

In the sequel, unless otherwise stated,  $\mu$  is a  $G$ -valued  $\sigma$ -additive exhausting set function on the ring  $R$  with  $\mu(R)$  contained in a sequentially complete subset  $H$  of  $G$ .  $\tilde{\mu}$  denotes the unique  $\sigma$ -additive extension of  $\mu$  to  $S(R)$ .  $R_{\sigma}$  denotes the class  $\{E = \bigcup_{n=1}^{\infty} E_n : E_n \in R \text{ for each } n\}$ .

**LEMMA 2.3.** Suppose  $\Omega \in S(R)$ . Given  $E \in S(R)$  and  $W \in \mathcal{B}$ , there exists  $\{E_n\}_1^{\infty} \subset R$  such that  $E \subset \bigcup_{n=1}^{\infty} E_n$  with  $(\bigcup_{n=1}^{\infty} E_n) \setminus E \in S(R)_W(\tilde{\mu})$ .

**PROOF.** Choose  $W_0 \in \mathcal{B}$  such that  $W_0 + W_0 \subset W$ . By the result 4.3 of [4], the condition (\*) on p.92 of Sion [9] is equivalent to the exhausting property of  $\mu$ , since by hypothesis the range of  $\mu$  is contained in a sequentially complete set. Since  $\tilde{\mu}$  is unique on  $S(R)$ , by Theorem 3.3 of Sion [9] we have

$$\tilde{\mu}(E) = \lim\{\tilde{\mu}(\alpha) : \alpha \in R_{\sigma}^+(E)\}$$

where  $R_{\sigma}^+(E) = \{\alpha \in R_{\sigma} : E \subset \alpha\}$  is directed by  $\alpha \leq \beta$  if and only if  $\alpha \supset \beta$ . Thus there exists  $\alpha_0 \in R_{\sigma}^+(E)$  such that

$$\tilde{\mu}(\alpha) - \tilde{\mu}(E) \in W_0 \quad (1)$$

for  $\alpha \geq \alpha_0, \alpha \in R_{\sigma}^+(E)$ . Let  $F \in S(R)$  with  $E \subset F \subset \alpha_0$ . By a similar argument applied to  $F$ , there exists  $\beta_0 \in R_{\sigma}^+(F)$  such that

$$\tilde{\mu}(\beta) - \tilde{\mu}(F) \in W_0 \quad (2)$$

for  $\beta \geq \beta_0, \beta \in R_\sigma^+(F)$ . Clearly,  $\alpha_0 \cap \beta_0 \in R_\sigma^+(E) \cap R_\sigma^+(F)$ . Consequently, by (1) and (2) we have

$$\tilde{\mu}(\alpha_0 \cap \beta_0) - \tilde{\mu}(E) \in W_0$$

and

$$\tilde{\mu}(\alpha_0 \cap \beta_0) - \tilde{\mu}(F) \in W_0$$

so that  $\tilde{\mu}(F) - \tilde{\mu}(E) \in W$ . This shows that there exists  $\{E_n\}_1^\infty \subset R$  such that  $\alpha_0 = \bigcup_1^\infty E_n$ ,  $E \subset \bigcup_1^\infty E_n$  and  $(\bigcup_1^\infty E_n) \setminus E \in S(R)_W(\tilde{\mu})$ .

**REMARKS 2.4.** The hypothesis that  $\Omega \in S(R)$  is redundant in Lemma 2.3, since Theorem 3.3 of [9] can be shown to be valid with suitable modifications by considering the hereditary  $\sigma$ -ring generated by  $R$ , when  $S(R)$  is not a  $\sigma$ -algebra.

**LEMMA 2.5.** Given  $W \in B$  and  $E, F$  in  $R$  with  $E \subset F$  and  $F \setminus E \in R_W(\mu)$ , then  $F \setminus E \in S(R)_W(\tilde{\mu})$ .

**PROOF.** Let  $A \in S(R)$  with  $A \subset F \setminus E$ . Let  $A_\alpha \rightarrow A$  in the topology  $\tilde{\Gamma}(\mu)$ , where  $\{A_\alpha\}$  is a net in  $R$ . Then  $A_\alpha \cap (F \setminus E) \rightarrow A \cap (F \setminus E) = A$  in  $\tilde{\Gamma}(\mu)$ , since  $\tilde{\Gamma}(\mu)$  is a ring topology on  $S(R)$ . Consequently, by Theorem 2.2

$$\tilde{\mu}(A) = \lim_\alpha \mu(A_\alpha \cap (F \setminus E)) \in \bar{W} = W.$$

Thus  $F \setminus E \in S(R)_W(\tilde{\mu})$ .

**THEOREM 2.6.** Suppose  $\mu$  is a  $G$ -valued  $\sigma$ -additive and exhausting set function on the ring  $R$  with  $\mu(R)$  contained in a sequentially complete set in  $G$ . Suppose the family  $\mathcal{L}$  of subsets of  $\Omega$  is closed

for countable unions.

- (i) If  $\mu$  is  $\mathcal{G}$ -Alexandroff regular on  $R$ , then the  $\sigma$ -additive extension  $\tilde{\mu}$  of  $\mu$  is  $\mathcal{G}$ -Alexandroff regular on  $S(R)$ .
- (ii) If  $\Omega \in S(R)$ ,  $\{\Omega \setminus U : U \in \mathcal{G}\} \subset \mathcal{L}$  and  $\mu$  is  $\mathcal{G}$ -Alexandroff regular on  $R$ , then  $\tilde{\mu}$  is  $(\mathcal{G}, \mathcal{L})$ -Alexandroff regular on  $S(R)$ .

**PROOF.**

- (i) Let  $E \in S(R)$  and let  $W \in \mathcal{B}$ . Choose  $\hat{W} \in \mathcal{B}$  such that  $\hat{W} + \hat{W} \subset W$ . Then there exists a finite family  $(q_i)_{i=1}^k$  of continuous quasinorms on  $G$  and an  $\varepsilon > 0$  such that  $\hat{W}_\varepsilon = \bigcap_{i=1}^k B_{q_i}(0, \varepsilon) \subset \hat{W}$ .

By Lemma 2.3 and Remarks 2.4, there exists  $(E_n)_{n=1}^\infty \subset R$  such

$$E \subset \bigcup_{n=1}^\infty E_n \quad \text{and} \quad \left(\bigcup_{n=1}^\infty E_n\right) \setminus E \in S(R)_{\hat{W}}(\tilde{\mu}) \quad (1)$$

By hypothesis, for each  $n$  there exists  $U_n \in \mathcal{G}$ ,  $A_n \in R$  such that  $E_n \subset U_n \subset A_n$  and  $A_n \setminus E_n \in R_{\hat{W}_\varepsilon / 2^{n+1}}(\mu)$ . If  $U = \bigcup_{n=1}^\infty U_n$ , then

by hypothesis on  $\mathcal{G}$ ,  $U \in \mathcal{G}$ . If  $A = \bigcup_{n=1}^\infty A_n$ , then  $A \in S(R)$  and  $E \setminus \bigcup_{n=1}^\infty E_n \subset U \subset A$ . If  $F \in S(R)$  with  $F \subset A \setminus \bigcup_{n=1}^\infty E_n$ , then  $F = \bigcup_{n=1}^\infty (F \cap (A_n \setminus E_n))$ .

Now, by Lemma 2.5

$$\tilde{\mu}(F \cap (A_n \setminus E_n)) \in \hat{W}_{\varepsilon / 2^{n+1}}$$

for each  $n$ . Let  $B_n = F \cap (A_n \setminus E_n)$  and

$$H_n = \bigcup_{k=1}^n B_k. \quad \text{Then}$$

$$\tilde{\mu}(H_n) = \sum_{k=1}^n \tilde{\mu}(B_k \setminus \bigcup_{j < k} B_j) \quad (\text{where } B_0 = \emptyset)$$

$$= \sum_{k=1}^n \{ \tilde{\mu}(B_k) - \tilde{\mu}(B_k \cap \bigcup_{j < k} B_j) \}$$

$$\varepsilon \sum_{k=1}^n \hat{W}_\varepsilon / 2^k \subset \hat{W}_\varepsilon \subset \hat{W}.$$

Consequently,

$$\tilde{\mu}(F) = \lim \tilde{\mu}(H_n) \in \hat{W} \quad (2)$$

as  $\hat{W}$  is closed. Thus for  $B \in S(R)$  with  $B \subset A \setminus E$  we have

$$B = B \cap (A \setminus \bigcup_{n=1}^{\infty} E_n) \cup B \cap (\bigcup_{n=1}^{\infty} E_n \setminus E)$$

so that  $\tilde{\mu}(B) \in \hat{W} + \hat{W} \subset W$  by (1) and (2). Therefore,  $\tilde{\mu}$  is  $\mathcal{G}$ -Alexandroff regular on  $S(R)$ .

(ii) Let  $\Omega \in S(R)$  and  $\{\Omega \setminus U : U \in \mathcal{G}\} \subset \mathcal{L}$ . If  $E \in S(R)$  and  $W \in \mathcal{B}$ , then by (i) there exists  $U \in \mathcal{G}$  and  $A \in S(R)$  such that  $\Omega \setminus E \setminus U \subset A$  with  $A \setminus (\Omega \setminus E) \in S(R)_W(\tilde{\mu})$ . Then  $\Omega \setminus A \subset \Omega \setminus U \subset E$ ,  $\Omega \setminus U \in \mathcal{L}$  and  $E \setminus (\Omega \setminus A) = A \setminus (\Omega \setminus E) \in S(R)_W(\tilde{\mu})$ . Hence  $\tilde{\mu}$  is  $(\mathcal{G}, \mathcal{L})$ -Alexandroff regular on  $S(R)$ .

Combining Theorems 1.5 and 2.6 we obtain the following

**THEOREM 2.7.** Let  $\mu$  be a  $G$ -valued exhausting additive set function on the ring  $R$  with  $\mu(R)$  contained in a sequentially complete set in  $G$ . Suppose the family  $\mathcal{G}$  is a lattice of sets closed for countable unions and the family  $\mathcal{L}$  has the  $\mathcal{G}$ -c.c.p. If  $\mu$  is  $(\mathcal{G}, \mathcal{L})$ -Alexandroff regular on  $R$ , then  $\mu$  has a unique  $\sigma$ -additive extension  $\tilde{\mu}$  on  $S(R)$  and  $\tilde{\mu}$  is  $\mathcal{G}$ -Alexandroff regular on  $S(R)$ . If  $\Omega \in S(R)$  and  $\{\Omega \setminus U : U \in \mathcal{G}\} \subset \mathcal{L}$ , then  $\tilde{\mu}$  is further  $(\mathcal{G}, \mathcal{L})$ -Alexandroff regular on  $S(R)$ .

The following result is immediate from the above theorem and Theorem 1.6.

**THEOREM 2.8.** Let  $\mu$  be a  $G$ -valued exhausting additive set function on a ring  $R$  of subsets of a topological space  $X$ , with  $\mu(R)$  contained in a sequentially complete set in  $G$ . Suppose  $\mathcal{G}$  is the family of all open sets in  $X$  and  $\mathcal{L}$  is a family of subsets of  $X$  having the  $\mathcal{G}$ -c.c.p. If  $\mu$  is  $(\mathcal{G}, \mathcal{L})$ -Alexandroff regular on  $R$ , then  $\mu$  has a unique  $\sigma$ -additive extension  $\tilde{\mu}$  on  $S(R)$  and  $\tilde{\mu}$  is  $\mathcal{G}$ -Alexandroff regular on  $S(R)$ . If  $X$  is countably compact, we can take  $\mathcal{L}$  to be the family of all closed subsets of  $X$ . If  $X$  is countably compact,  $X \in S(R)$  and  $\mathcal{L} = \{C \subset X : C \text{ closed}\}$ , then  $\tilde{\mu}$  is  $(\mathcal{G}, \mathcal{L})$ -Alexandroff regular.

**REMARKS 2.9.** Since a bounded complex valued additive set function on a ring of sets is necessarily exhausting, Theorem III.5.14 of [7] mentioned in the outset is indeed a very special case of Theorem 2.8.

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